Variable Forgetting in Reasoning about Knowledge*

Kaile Su SUKL@PKU.EDU.CN
School of Electronics Engineering and Computer Science
Peking University
Beijing, P.R. China

Abdul Sattar A.SATTAR@GRIFFITH.EDU.AU
Institute for IIS
Griffith University
Brisbane, Qld 4111, Australia

Guanfeng Lv LVGF@YAHOO.COM
School of Computer Science
Beijing University of Technology
Beijing, P.R. China

Yan Zhang YAN@CIT.UWS.EDU.AU
School of Computing and Information Technology
University of Western Sydney
Penrith South DC NSW 1797, Australia

Abstract

In this paper, we investigate knowledge reasoning within a simple framework called *knowledge structure*. We use *variable forgetting* as a basic operation for one agent to reason about its own or other agents' knowledge. In our framework, two notions namely agents' *observable variables* and the *weakest sufficient condition* play important roles in knowledge reasoning. Given a background knowledge base Γ and a set of observable variables O_i for each agent i, we show that the notion of agent i knowing a formula φ can be defined as a weakest sufficient condition of φ over O_i under Γ. Moreover, we show how to capture the notion of common knowledge by using a generalized notion of weakest sufficient condition. Also, we show that public announcement operator can be conveniently dealt with via our notion of knowledge structure. Further, we explore the computational complexity of the problem whether an epistemic formula is *realized* in a knowledge structure. In the general case, this problem is PSPACE-Complete; however, for some interesting subcases, it can be reduced to co-NP. Finally, we discuss possible applications of our framework in some interesting domains such as the automated analysis of the well-known muddy children puzzle and the verification of the revised Needham-Schroeder protocol. We believe that there are many scenarios where the natural presentation of the available information about knowledge is under the form of a knowledge structure. What makes it valuable compared to the corresponding multi-agent S5 Kripke structure is that it can be much more succinct.

1. Introduction

Epistemic logics, or logics of knowledge are usually recognized as having originated in the work of Jaakko Hintikka - a philosopher who showed how certain modal logics could be

*. The revised and extended version of a paper which appeared in *Proceedings of KR 2004* (Su, LV, & Zhang, 2004)

used to capture intuitions about the nature of knowledge in the early 1960s (Hintikka, 1962). In the mid of 1980s, Halpern and his colleagues discovered that S5 epistemic logics could be given a natural interpretation in terms of the states of processes (commonly called agents) in a distributed system. This model now is known as the *interpreted system* model (Fagin, Halpern, Moses, & Vardi, 1995). It was found that this model plays an important role in the theory of distributed systems and has been applied successfully in reasoning about communication protocols (Halpern & Zuck, 1992). However, the work on epistemic logic has mainly focused on theoretical issues such as variants of modal logic, completeness, computational complexity, and derived notions like distributed knowledge and common knowledge.

In this paper, we explore knowledge reasoning within a more concrete model of knowledge. Our framework of reasoning about knowledge is simple and powerful enough to analyze realistic protocols such as some widely used security protocols.

To illustrate the problem investigated in this paper, let us consider the communication scenario that Alice sends Bob a message and Bob sends Alice an acknowledgement when receiving the message. We assume Alice and Bob commonly have the following background knowledge base Γ_{CS}:

$$Bob\_recv\_msg \Rightarrow Alice\_send\_msg$$
$$Bob\_send\_ack \Rightarrow Bob\_recv\_msg$$
$$Alice\_recv\_ack \Rightarrow Bob\_send\_ack$$

where Bob_recv_msg and Bob_send_ack are *observable* variables to Bob, while $Alice\_send\_msg$ and $Alice\_recv\_ack$ are *observable* to Alice.

The problem we are concerned with is how to verify that Alice or Bob knows a statement φ. Intuitively, we should be able to prove that for a statement observable to Alice (Bob), Alice (Bob) knows the statement if and only if the statement itself holds. As for the knowledge of non-observable statements, the following should hold:

1. Alice knows Bob_recv_msg if $Alice\_recv\_ack$ holds; on the other hand, if Alice knows Bob_recv_msg, then $Alice\_recv\_ack$ holds, which means that , in the context of this example, the only way that Alice gets to know Bob_recv_msg is that Alice receives the acknowledgement from Bob.

2. Bob knows $Alice\_send\_msg$ if Bob_recv_msg holds; moreover, if Bob knows $Alice\_send\_msg$, then Bob_recv_msg holds. The latter indicates that the only way that Bob gets to know $Alice\_send\_msg$ is that Bob receives the message from Alice.

3. Finally, Bob does not know $Alice\_recv\_ack$.

The idea behind the presented knowledge model for those scenarios demonstrated above is that an agent's knowledge is just the agent's observations or logical consequences of the agent's observations under the background knowledge base.

One of the key notions introduced in this paper is agents' *observable variables*. This notion shares a similar spirit of those of *local variables* in (van der Hoek & Wooldridge, 2002) and *local propositions* in (Engelhardt, van der Meyden, & Moses, 1998; Engelhardt, van der Meyden, & Su, 2003). Informally speaking, local propositions are those depending only upon an agent's local information; and an agent can always determine whether a

given local proposition is true. Local variables are those primitive propositions that are local. Nevertheless, the notion of local propositions in (Engelhardt et al., 1998, 2003) is a semantics property of the truth assignment function in a Kripke structure, while the notion of local variables in (van der Hoek & Wooldridge, 2002) is a property of syntactical variables. In this paper, we prefer to use the term "observable variable" in order to avoid any confusion with the term "local variable" used in programming, where "non-local variables" such as "global variables" may often be observable.

Our knowledge model is also closely related to the notion of *weakest sufficient condition*, which was first formalized by (Lin, 2001). Given a background knowledge base Γ and a set of observable variables O_i for each agent i, we show that the notion of agent i knowing a formula φ can be defined as the weakest sufficient condition of φ over O_i under Γ, which can be computed via the operation of *variable forgetting* (Lin & Reiter, 1994). Moreover, we generalize the notion of weakest sufficient condition and capture the notion of common knowledge.

The notion of *variable forgetting* or *eliminations of middle terms* (Boole, 1854) has various applications in knowledge representation and reasoning. For example, (Weber, 1986) applied it to updating propositional knowledge bases. More recently, (Lang & Marquis, 2002) used it for merging a set of knowledge bases when simply taking their union may result in inconsistency. The notion of variable forgetting is also closely related to that of *formula-variable independence*, because the result of forgetting the set of variables V in a formula φ can be defined as the strongest consequence of φ being independent from V (Lang, Liberatore, & Marquis, 2003).

Now we briefly discuss the role of variable forgetting in our knowledge model. Let us examine the scenario described above again. Consider the question: how can Alice figure out Bob's knowledge when she receives the acknowledgement from Bob? Note that Alice's knowledge is the conjunction of the background knowledge base Γ_{CS} and her observations *Alice_recv_ack* etc. Moreover, all Alice knows about Bob's knowledge is the conjunction of the background knowledge base Γ_{CS} and all she knows about Bob's observations. Thus, Alice gets Bob's knowledge by computing all she knows about Bob's observations. In our setting, Alice gets her knowledge on Bob's observations simply by forgetting Bob's non-observable variables in her own knowledge.

There is a recent trend of extending epistemic logics with dynamic operators so that the evolution of knowledge can be expressed (van Benthem, 2001; van Ditmarsch, van der Hoek, & Kooi, 2005a). The most basic extension is public announcement logic (PAL), which is obtained by adding an operator for truthful public announcements (Plaza, 1989; Baltag, Moss, & Solecki, 1998; van Ditmarsch, van der Hoek, & Kooi, 2005b). We show that public announcement operator can be conveniently dealt with via our notion of knowledge structure. This makes the notion of knowledge structure genuinely useful for those applications like the automated analysis of the well-known muddy children puzzle.

From the discussion above, we can see that our framework of reasoning about knowledge is appropriate in those situations where every agent has a specified set of observational variables. To further show the significance of our framework, we investigate some of its interesting applications to the automated analysis of the well-known muddy children puzzle and the verification of the revised Needham-Schroeder protocol (Lowe, 1996).

We believe that there are many scenarios where the natural presentation of the available information about knowledge is under the form of a knowledge structure. What makes it valuable compared to the corresponding multi-agent S5 Kripke structure is that it can be much more succinct. Of course, the price to be paid is that the problem determining whether a formula holds in a knowledge structure is PSPACE-complete in the general case, while it is in PTIME when the corresponding S5 Kripke structure is taken as input. However, the achieved trade-off between time and space can prove computationally valuable. In particular, the validity problem from a knowledge structure can be addressed for some instances for which generating the corresponding Kripke structure would be unfeasible. The Muddy Children Puzzle shows this point clearly: generating the corresponding Kripke structure is impossible from a practical point of view, even for the least number of children considered in the experiments.

The organization of this paper is as follows. In the next section, we briefly introduce the concept of forgetting and the notion of weakest sufficient and strongest necessary conditions. In Section 3, we define our framework of reasoning about knowledge via variable forgetting. In Section 4, we generalize the notion of weakest sufficient condition and strongest necessary condition to capture common knowledge within our framework. In Section 5, we show that public announcement operator can also be conveniently dealt with via our notion of knowledge structure. Section 6 deals with the computational complexity issue about the problem of whether an epistemic formula is realized in a knowledge structure. In the general case, this problem is PSPACE-Complete; however, for some interesting subcases, it can be reduced to co-NP. In Section 6, we consider a case study by applying our framework to deal with the well known muddy children puzzle. We further apply our framework of knowledge reasoning to security protocols verification in Section 7. Finally, we conclude the paper with some remarks.

2. Preliminaries

2.1 Forgetting

Given a set of propositional variables P, we identify a *truth assignment over* P with a subset of P. We say a formula φ is a formula *over* P if each propositional variable occurring in φ is in P. For convenience, we define **true** as an abbreviation for a fixed valid propositional formula, say $p \vee \neg p$, where p is primitive proposition in P. We abbreviate $\neg$**true** by **false**.

We also use $\models$ to denote the usual satisfaction relation between a truth assignment and a formula. Moreover, for a set of formulas Γ and a formula φ, we use $\Gamma \models \varphi$ to denote that for every assignment σ, if $\sigma \models \alpha$ for all $\alpha \in \Gamma$, then $\sigma \models \varphi$.

Given a propositional formula φ, and a propositional variable p, we denote by $\varphi(\frac{p}{\mathbf{true}})$ the result of replacing every p in φ by **true**. We define $\varphi(\frac{p}{\mathbf{false}})$ similarly.

The notion of *variable forgetting* (Lin & Reiter, 1994), or eliminations of middle terms (Boole, 1854), can be defined as follows:

Definition 1 Let φ be a formula over P, and $V \subseteq P$. The *forgetting of* V *in* φ , denoted as $\exists V\varphi$, is a quantified formula over P, defined inductively as follows:

1. $\exists\emptyset\varphi = \varphi$;

2. $\exists\{p\}\varphi = \varphi\,(\frac{p}{\mathbf{true}}) \vee \varphi\,(\frac{p}{\mathbf{false}})$;

3. $\exists(V \cup \{p\})\varphi = \exists V(\exists\{p\}\varphi)$.

For convenience, we use $\forall V\varphi$ to denote $\neg\exists V(\neg\varphi)$.

Example 2: Let $\varphi = (p \vee q) \wedge (\neg p \vee r)$. We have $\exists\{p\}\varphi \equiv (q \vee r)$ and $\exists\{q\}\varphi \equiv (\neg p \vee r)$. ∎

Many characterizations of variable forgetting, together with complexity results, are reported in (Lang & Marquis, 1998). In particular, the notion of variable forgetting is closely related to that of *formula-variable independence* (Lang et al., 2003).

Definition 3 Let φ be a propositional formula, and V a set of propositional variables. We say φ is independent from V if and only if φ is locally equivalent to a formula in which none of the variables in V appears.

The following proposition was given in (Lang et al., 2003).

Proposition 4 *Let φ be a propositional formula, and V a set of propositional variables. Then $\exists V\varphi$ is the logically strongest consequence of φ that is independent from V (up to logical equivalence).*

Proof: First, it is easy to see that $\models p \Rightarrow \varphi \Rightarrow \varphi\,(\frac{p}{\mathbf{true}})$ and $\models \neg p \Rightarrow \varphi \Rightarrow \varphi\,(\frac{p}{\mathbf{false}})$. Therefore, $\models \varphi \Rightarrow (\varphi\,(\frac{p}{\mathbf{true}}) \vee \varphi\,(\frac{p}{\mathbf{false}}))$, i.e., $\models \varphi \Rightarrow \exists\{p\}\varphi$. Hence, $\models \varphi \Rightarrow \exists V\varphi$, and we have that $\exists V\varphi$ is a logical consequence of φ. Moreover, $\exists V\varphi$ is independent from V by the definition. To complete the proof, we need only to show that, for every formula ψ that is independent from V, if ψ is a logical consequence of φ, then $\models \exists V\varphi \Rightarrow \psi$. However, from $\models \varphi \Rightarrow \psi$, we have that $\models \exists V\varphi \Rightarrow \exists V\psi$. By the condition that ψ that is independent from V, we have $\models \psi \Leftrightarrow \psi'$ for some formula ψ' in which none of the variables in V appears. Therefore, $\models \exists V\psi \Leftrightarrow \exists V\psi'$ and $\models \exists V\psi' \Leftrightarrow \psi'$. As a result, $\models \exists V\psi \Rightarrow \psi$ and hence $\models \exists V\varphi \Rightarrow \psi$. ∎

2.2 Weakest Sufficient Conditions

The formal definitions of *weakest sufficient conditions* and *strongest necessary conditions* were first formalized via the notion of variable forgetting by (Lin, 2001), which in turn play an essential role in our approach.

Definition 5 Let V be a set of propositional variables and $V' \subseteq V$. Given a set of formulas Γ over V as a background knowledge base and a formula α over V.

- A formula φ over V' is called a *sufficient condition of α over V'* under Γ if $\Gamma \models \varphi \Rightarrow \alpha$. It is called a *weakest sufficient condition of α over V'* under Γ if it is a sufficient condition of α over V' under Γ, and for any sufficient condition φ' of α on V' under Γ, we have $\Gamma \models \varphi' \Rightarrow \varphi$.

- A formula φ over V' is called a necessary condition of α over V' under Γ if $\Gamma \models \alpha \Rightarrow \varphi$. It is called a *strongest necessary condition of α over V'* under Γ if it is a necessary condition of α over V' under Γ, and for any necessary condition φ' of α over V' under Γ, we have $\Gamma \models \varphi \Rightarrow \varphi'$.

The notions given above are closely related to theory of abduction. Given an observation, there may be more than one abduction conclusion that we can draw. It should be useful to find the weakest of such conclusions, i.e., the weakest sufficient condition of the observation (Lin, 2001). The notions of strongest necessary and weakest sufficient conditions of a proposition also have many potential applications in other areas such as reasoning about actions. The following proposition, which is due to Lin (Lin, 2001), shows how to compute the two conditions.

Proposition 6 *Given a background knowledge base $\{\theta\}$ over V, a formula α over V, and a subset V' of V. Let SNC^α and WSC^α be a strongest necessary condition and a weakest sufficient condition of α over V' under $\{\theta\}$ respectively. Then*

- *WSC^α is equivalent to $\forall(V - V')(\theta \Rightarrow \alpha)$; and*
- *SNC^α is equivalent to $\exists(V - V')(\theta \wedge \alpha)$.*

2.3 Epistemic Logic and Kripke Structure

We now recall some standard concepts and notations related to the modal logics for multi-agents' knowledge.

Given a set V of primitive propositions. The language of epistemic logic, denoted by $\mathcal{L}_n(V)$, is a propositional language with primitive propositions in V augmented with modal operator K_i for each agent i. $K_i\phi$ can be read *"agent i knows ϕ "*. Let $\mathcal{L}_n^C(V)$ be the language of $\mathcal{L}_n(V)$ augmented with and modal operator C_Δ for each set of agents Δ. A formula $C_\Delta\alpha$ indicates that it is common knowledge among agents in Δ that α holds. We omit the argument V and write $\mathcal{L}_n$ and $\mathcal{L}_n^C$, if it is clear from context.

According to (Halpern & Moses, 1992), semantics of these formulas can be given by means of *Kripke structure* (Kripke, 1963), which formalizes the intuition behind possible worlds. A Kripke structure is a tuple $(W, \pi, \mathcal{K}_1, \cdots, \mathcal{K}_n)$, where W is a set of *worlds*, π associates with each world a truth assignment to the primitive propositions, so that $\pi(w)(p) \in \{\mathbf{true}, \mathbf{false}\}$ for each world w and primitive proposition p, and $\mathcal{K}_1, \cdots, \mathcal{K}_n$ are binary accessibility relations. By convention, W^M, $\mathcal{K}_i^M$ and π^M are used to refer to the set W of possible worlds, the $\mathcal{K}_i$ relation and the π function in the Kripke structure M, respectively. We omit the superscript M if it is clear from context. Finally, let $\mathcal{C}_\Delta$ be the transitive closure of $\bigcup_{i\in\Delta}\mathcal{K}_i$.

A *situation* is a pair (M, w) consisting of a Kripke structure and a world w in M. By using situations, we can inductively give semantics to formulas as follows: for primitive propositions p,

$$(M, w) \models p \text{ iff } \pi^M(w)(p) = \mathbf{true}.$$

Conjunctions and negations are dealt with in the standard way. Finally,

$(M, w) \models K_i\alpha$ iff for all $w' \in W$ such that $w\mathcal{K}_i^M w'$, we have that $(M, w') \models \alpha$; and

$(M, w) \models C_\Delta\alpha$ iff for all $w' \in W$ such that $w\mathcal{C}_\Delta^M w'$, we have that $(M, w') \models \alpha$.

We say a formula α is satisfiable in Kripke structure M if $(M, w) \models \alpha$ for some possible world w in Kripke structure M.

A Kripke structure M is called an S5 Kripke structure if, for every i, $\mathcal{K}_i^M$ is an equivalence relation. A Kripke structure M is called a finite Kripke structure if the set of possible worlds is finite. According to (Halpern & Moses, 1992), we have the following lemma.

Lemma 7 *If a formula is satisfiable in an S5 Kripke structure, then so is in a finite S5 Kripke structure.*

3. Knowledge and Weakest Sufficient Conditions

In our framework, a *knowledge structure* is a simple model of reasoning about knowledge. The advantage of this model is, as will be shown later, that agents' knowledge can be computed via the operation of variable forgetting.

3.1 Knowledge Structure

Definition 8 A *knowledge structure* $\mathcal{F}$ with n-agents is a $(n+2)$-tuple $(V, \Gamma, O_1, \cdots, O_n)$ where (1) V is a set of propositional variables; (2) Γ is a set of boolean formulas over V; and (3) for each agent i, $O_i \subseteq V$.

The variables in O_i are called agent i's *observable variables*. An assignment that satisfies Γ is called a *state* of knowledge structure $\mathcal{F}$. Given a state s of $\mathcal{F}$, we define *agent i's local state* at state s as $s \cap O_i$.

A pair $(\mathcal{F}, s)$ of knowledge structure $\mathcal{F}$ and a state s of $\mathcal{F}$ is called a *scenario*.

Given a knowledge structure $(V, \Gamma, O_1, \cdots, O_n)$ and a set $\mathcal{V}$ of subsets of V, we use $\mathcal{E}_{\mathcal{V}}$ to denote a relation between two assignments s, s' on V satisfying Γ such that $(s, s') \in \mathcal{E}_{\mathcal{V}}$ iff there exists a $P \in \mathcal{V}$ with $s \cap P = s' \cap P$. We use $\mathcal{E}^*_{\mathcal{V}}$ to denote the transitive closure of $\mathcal{E}_{\mathcal{V}}$.

Let $\mathcal{V}_\Delta = \{O_i \mid i \in \Delta\}$. We then have that $(s, s') \in \mathcal{E}_{\mathcal{V}_\Delta}$ iff there exists an $i \in \Delta$ with $s \cap O_i = s' \cap O_i$. We now give the semantics of language $\mathcal{L}^C_n$ based on scenarios.

Definition 9 The satisfaction relationship $\models$ between a scenario $(\mathcal{F}, s)$ and a formula φ is defined by induction on the structure of φ.

1. For each primitive proposition p, $(\mathcal{F}, s) \models p$ iff $s \models p$.
2. For any formulas α and β, $(\mathcal{F}, s) \models \alpha \wedge \beta$ iff $(\mathcal{F}, s) \models \alpha$ and $(\mathcal{F}, s) \models \beta$; and $(\mathcal{F}, s) \models \neg\alpha$ iff not $(\mathcal{F}, s) \models \alpha$.
3. $(\mathcal{F}, s) \models K_i\alpha$ iff for all s' of $\mathcal{F}$ such that $s' \cap O_i = s \cap O_i$, $(\mathcal{F}, s') \models \alpha$.
4. $(\mathcal{F}, s) \models C_\Delta\alpha$ iff $(\mathcal{F}, s') \models \alpha$ for all s' of $\mathcal{F}$ such that $(s, s') \in \mathcal{E}^*_{\mathcal{V}_\Delta}$.

We say that a proposition formula is an *i-local* formula if it is over O_i. Clearly, agent i knows an i-local formula φ in $\mathcal{F}$ iff $\Gamma \models \varphi$.

Let $\mathcal{F} = (V, \Gamma, O_1, \cdots, O_n)$ be a knowledge structure. We say that a formula α is realized in knowledge structure $\mathcal{F}$, if for every state s of $\mathcal{F}$, $(\mathcal{F}, s) \models \alpha$. For convenience, by $\mathcal{F} \models \alpha$, we denote formula α is realized in knowledge structure $\mathcal{F}$.

We concludes this subsection by the following lemma, which will be used in the remains of this paper.

Lemma 10 *Let V be a finite set of variables, $\mathcal{F} = (V, \Gamma, O_1, \cdots, O_n)$ be a knowledge structure, and s be a state of $\mathcal{F}$. Also suppose that $\Delta \subseteq \{1, \cdots, n\}$, and $\mathcal{V}_\Delta = \{O_i \mid i \in \Delta\}$. Then*

1. *for any objective formula ψ (i.e., propositional formula over V, $(\mathcal{F}, s) \models \psi$ iff $s \models \psi$;*
2. *for any formula $\gamma \in \Gamma$, $(\mathcal{F}, s) \models \gamma$;*
3. *for any i-local formula β, $(\mathcal{F}, s) \models K_i\beta \Leftrightarrow \beta$;*
4. *for any $\mathcal{V}_\Delta$-definable formula β, $(\mathcal{F}, s) \models C_\Delta\beta \Leftrightarrow \beta$;*
5. *for any formulas α_1 and α_2, $(\mathcal{F}, s) \models K_i(\alpha_1 \Rightarrow \alpha_2) \Rightarrow (K_i\alpha_1 \Rightarrow K_i\alpha_2)$;*
6. *for any formulas α_1 and α_2, $(\mathcal{F}, s) \models C_\Delta(\alpha_1 \Rightarrow \alpha_2) \Rightarrow (C_\Delta\alpha_1 \Rightarrow C_\Delta\alpha_2)$;*
7. *for any formula α and $i \in \Delta$, $(\mathcal{F}, s) \models C_\Delta\alpha \Rightarrow K_iC_\Delta\alpha$.*

Proof:

1. The first item of this proposition can be proved by induction on the structure of ψ. When ψ is a primitive proposition, it is done by the first item of Definition 9. When ψ is of the form of negation or conjunction, the conclusion also follows immediately by the first item of Definition 9.

2. The second item of this proposition can be proved by the first item and the fact s satisfies Γ.

3. Given an i-local formula β, it suffices to show $(\mathcal{F}, s) \models K_i\beta$ iff $(\mathcal{F}, s) \models \beta$. By the first item of this proposition, we have that $(\mathcal{F}, s) \models \beta$ iff $s \models \beta$. Moreover, as β is i-local or over O_i, for all assignments s' with $s' \cap O_i = s \cap O_i$, we have that $s' \models \beta$ iff $s \models \beta$. Therefore, we get the the following three "iff"s: $(\mathcal{F}, s) \models K_i\beta$ iff, for all state s' of $\mathcal{F}$ with $s' \cap O_i = s \cap O_i$, we have that $(\mathcal{F}, s') \models \beta$ iff, for all state s' of $\mathcal{F}$ with $s' \cap O_i = s \cap O_i$, we have that $s' \models \beta$ iff $s \models \beta$. Thus, $(\mathcal{F}, s) \models K_i\beta$ iff $(\mathcal{F}, s) \models \beta$.

4. Suppose that formula β is $\mathcal{V}_\Delta$-definable, we need to show $(\mathcal{F}, s) \models C_\Delta\beta \Leftrightarrow \beta$. First, because $(s, s) \in \mathcal{E}_{\mathcal{V}_\Delta} \subseteq \mathcal{E}^*_{\mathcal{V}_\Delta}$, for all formula α, we have that $(\mathcal{F}, s) \models C_\Delta\alpha$ implies $(\mathcal{F}, s) \models \alpha$. Therefore, it suffices to prove that $(\mathcal{F}, s) \models \beta \Rightarrow C_\Delta\beta$. Assume $(\mathcal{F}, s) \models \beta$. To prove that $(\mathcal{F}, s) \models C_\Delta\beta$, we need to show that for every assignment s' such that $(s, s') \in \mathcal{E}^*_{\mathcal{V}_\Delta}$, $(\mathcal{F}, s') \models \beta$. From the definition of $\mathcal{E}^*_{\mathcal{V}_\Delta}$, it suffices to show that for every finite sequence of assignments $s_0, \cdots, s_k$ with $s_0 = s$ and $(s_j, s_{j+1}) \in \mathcal{E}_{\mathcal{V}_\Delta}$ $(0 \leq j < k)$, we have that for every $j \leq k$, $(\mathcal{F}, s_j) \models \beta$. We show this by induction on j. When $j = 0$, the result is clearly true. Assume $(\mathcal{F}, s_j) \models \beta$. Now we prove $(\mathcal{F}, s_{j+1}) \models \beta$. Because $(s_j, s_{j+1}) \in \mathcal{E}_{\mathcal{V}_\Delta}$, there is an $i \in \Delta$ such that $O_i \cap s_j = O_i \cap s_{j+1}$. On the other hand, because β is $\mathcal{V}_\Delta$-definable formula and $i \in \Delta$, we have that β is equivalent under Γ to an i-local formula. Thus, $s_j \models \beta$ iff $s_{j+1} \models \beta$. Hence, $(\mathcal{F}, s_{j+1}) \models \beta$ as desired.

5. It suffice to show that if $(\mathcal{F}, s) \models K_i(\alpha_1 \Rightarrow \alpha_2)$ and $(K_i\alpha_1$ then $K_i\alpha_2)$. Assume that $(\mathcal{F}, s) \models K_i(\alpha_1 \Rightarrow \alpha_2)$ and $(K_i\alpha_1$, by item 3 of Definition 9 we get that, for all s' of $\mathcal{F}$ with $s' \cap O_i = s \cap O_i$, we have $(\mathcal{F}, s') \models (\alpha_1 \Rightarrow \alpha_2)$ and $(\mathcal{F}, s') \models \alpha_1$. However, by item 2 of Proposition 9, we get $(\mathcal{F}, s') \models \alpha_2$ from $(\mathcal{F}, s') \models (\alpha_1 \Rightarrow \alpha_2)$ and $(\mathcal{F}, s') \models \alpha_1$. Therefore, we get that, for all s' of $\mathcal{F}$ with $s' \cap O_i = s \cap O_i$, we have $(\mathcal{F}, s') \models \alpha_2$. It follows immediately that $K_i\alpha_2$.

6. This item can be shown in the same way as in the proof of item 4.

7. It suffices to prove that for those state s'' such that there is a state s' with $s \cap O_i = s' \cap O_i$ and $s' \mathcal{E}_{\mathcal{V}_\Delta} s''$, we can get $s \mathcal{E}^*_{\mathcal{V}_\Delta} s''$, which follows immediately from the fact that $\mathcal{E}^*_{\mathcal{V}_\Delta}$ is the transitive closure of $\mathcal{E}_{\mathcal{V}_\Delta}$. ∎

3.2 Relationship with S5 Kripke Structure

Given a knowledge structure $\mathcal{F} = (V, \Gamma, O_1, \cdots, O_n)$, let $M(\mathcal{F})$ be Kripke structure $(W, \pi, \mathcal{K}_1, \cdots, \mathcal{K}_n)$, where

1. W is the set of all states of $\mathcal{F}$;

2. for each $w \in W$, the assignment $\pi(w)$ is the same as w; and

3. for each agent i and assignments $w, w' \in W$, we have that $w \mathcal{K}_i w'$ iff $w \cap O_i = w' \cap O_i$.

The following proposition indicates that a knowledge structure can be viewed as a specific Kripke structure.

Proposition 11 *Arbitrarily given a knowledge structure $\mathcal{F}$, a state s of $\mathcal{F}$, and a formula α, we have that $(\mathcal{F}, s) \models \alpha$ iff the situation $(M(\mathcal{F}), s) \models \alpha$.*

Proof: Immediately by the definition of the satisfaction relationship between a scenario and a formula and that between a situation and a formula. ∎

From Proposition 11, we conclude that if a formula in $\mathcal{L}^C_n$ is satisfiable in some knowledge structure, then the formula is also satisfiable in some Kripke structure. From the following proposition and Lemma 7, we can get that if a formula in $\mathcal{L}^C_n$ is satisfiable in some Kripke structure, then the formula is also satisfiable in some knowledge structure.

Proposition 12 *For a finite Kripke structure M with the primitive proposition set V and possible world w in M, there is a knowledge structure $\mathcal{F}_M$ and a state s_w of $\mathcal{F}$ such that, for every formula $\alpha \in \mathcal{L}^C_n(V)$, we have that $(\mathcal{F}_M, s_w) \models \alpha$ iff $(M, w) \models \alpha$.*

Proof: Let $M = (W, \pi, R_1, \cdots, R_n)$, where W is a finite set and $R_1, \cdots, R_n$ are equivalence relation. Let $O_1, \cdots, O_n$ be sets of new primitive propositions such that

1. $O_1, \cdots, O_n$ are finite and disjoint to each other; and

2. for each i $(0 < i \leq n)$, the number of all subsets of O_i is not less than that of all equivalence classes of R_i.

By the latter condition, there is, for each i, a function g_i: $W \mapsto 2^{O_i}$ such that for all $w_1, w_2 \in W$, $g_i(w_1)$ and $g_i(w_2)$ are the same subset of O_i iff w_1 and w_2 are in the same equivalence class of R_i.

Let $V' = V \cup \bigcup_{0<i\leq n} O_i$. We define a function $g : W \mapsto 2^{V'}$ as follows. For each possible world w in W,

$$g(w) = \{v \in V \mid \pi(w)(v) = \mathbf{true}\} \cup \bigcup_{0<i\leq n} g_i(w).$$

The following two claims hold:

C1 For all $w_1, w_2 \in W$, and i $(0 < i \leq n)$, we have that $g(w_1) \cap O_i = g(w_2) \cap O_i$ iff $w_1 R_i w_2$.

C2 For all $w \in W$ and $v \in V$, we have that $v \in g(w)$ iff $\pi(w)(v) = \mathbf{true}$.

Let

$$\Gamma_M = \{\alpha \mid \alpha \text{ is over } V', \text{ and } g(w) \models \alpha \text{ for all } w \in W\}.$$

We then get a knowledge structure

$$\mathcal{F}_M = (V', \Gamma_M, O_1, \cdots, O_n).$$

We now show that following claim:

C3 For every $s \subseteq V'$, s is a state of $\mathcal{F}_M$ iff $s = g(w)$ for some $w \in W$.

The "if" part of claim C3 is easy to prove. If $s = g(w')$ for some $w' \in W$, then by the definition of Γ_M, we have that $g(w') \models \Gamma_M$ and hence $g(w')$ is a state of $\mathcal{F}_M$. To show the "only if" part, assume that for every $w \in W$, $s \neq g(w)$. Then, for every $w \in W$, we have formula α_w over V' such that $s \models \alpha_w$ but $g(w) \models \neg\alpha_w$. Therefore, $s \models \bigwedge_{w \in W} \alpha_w$. Moreover, we have that, for every $w' \in W$, $g(w') \models \bigvee_{w \in W} \neg\alpha_w$, and hence $\bigvee_{w \in W} \neg\alpha_w \in \Gamma_M$. Consequently, we have that $s \not\models \Gamma_M$ and hence s is not a state of $\mathcal{F}_M$.

To complete the proof, it suffices to show, for every $\alpha \in \mathcal{L}_n^C(V)$, that $(\mathcal{F}_M, g(w)) \models \alpha$ iff $(M, w) \models \alpha$. With conditions C1, C2 and C3, we can do so by induction on α. For the base case, we assume α is a primitive proposition, say p. Then, by condition C2, we have that $(\mathcal{F}_M, g(w)) \models p$ iff $p \in g(w)$ iff $\pi(w)(p) = \mathbf{true}$ iff $(M, w) \models p$.

Suppose that α is not a primitive proposition and the claim holds for every subformula of α. There are there cases:

1. α is of form $\neg\beta$ or $\beta \wedge \gamma$. This case can be dealt with by the definitions of satisfaction relations directly.

2. α is of form $K_i\beta$. In this case, we have $(\mathcal{F}_M, g(w)) \models K_i\beta$ iff $(\mathcal{F}_M, s) \models \beta$ for all states s of $\mathcal{F}_M$ with $g(w) \cap O_i = s \cap O_i$. By condition C3, we have that $(\mathcal{F}_M, g(w)) \models K_i\beta$ iff $(\mathcal{F}_M, g(w')) \models \beta$ for all $w' \in W$ with $g(w) \cap O_i = g(w') \cap O_i$. By condition C1, we then have $(\mathcal{F}_M, g(w)) \models K_i\beta$ iff $(\mathcal{F}_M, g(w')) \models \beta$ for all $w' \in W$ with wR_iw'. Therefore, by the induction assumption, we have $(\mathcal{F}_M, g(w)) \models K_i\beta$ iff $(M, w') \models \beta$ for all $w' \in W$ with wR_iw'. The right part is just $(M, w) \models K_i\beta$.

3. α is of form $C_\Delta\beta$. Recall that, for arbitrary two states s and s' of $\mathcal{F}_M$, $(s, s') \in \mathcal{E}_{\mathcal{V}_\Delta}$ iff there exists an $i \in \Delta$ with $s \cap O_i = s' \cap O_i$. By condition C1, for all $w_1, w_2 \in W$,

$$(g(w_1), g(w_2)) \in \mathcal{E}_{\mathcal{V}_\Delta} \text{ iff } (w_1, w_2) \in \bigcup_{i \in \Delta} R_i.$$

As $\mathcal{E}^*_{\mathcal{V}_\Delta}$ is the transitive closure of $\mathcal{E}_{\mathcal{V}_\Delta}$, and $\mathcal{C}^M_\Delta$ is that of $\bigcup_{i \in \Delta} R_i$, by condition C3 we get that

$$(g(w_1), g(w_2)) \in \mathcal{E}^*_{\mathcal{V}_\Delta} \text{ iff } (w_1, w_2) \in \mathcal{C}^M_\Delta$$

for all $w_1, w_2 \in W$.

We want to show that $(\mathcal{F}_M, g(w)) \models C_\Delta\beta$ iff $(M, w) \models C_\Delta\beta$. On one hand, $(\mathcal{F}_M, g(w)) \models C_\Delta\beta$ iff for all states s of $\mathcal{F}_M$ with $(g(w), s) \in \mathcal{E}^*_{\mathcal{V}_\Delta}$, $(\mathcal{F}_M, s) \models \beta$. By condition C3, we have that $(\mathcal{F}_M, g(w)) \models C_\Delta\beta$ iff for all $w' \in W$ with $(g(w), g(w')) \in \mathcal{E}^*_{\mathcal{V}_\Delta}$. On the other hand, $(M, w) \models C_\Delta\beta$ iff for all $w' \in W$ with $(w, w') \in \mathcal{C}^M_\Delta$. Therefore, we conclude that $(\mathcal{F}_M, g(w)) \models C_\Delta\beta$ iff $(M, w) \models C_\Delta\beta$ by the above discussion. ∎

3.3 Knowledge as Weakest Sufficient Conditions

The following theorem establishes a bridge between the notion of knowledge and the notion of weakest sufficient and strongest necessary conditions.

Theorem 13 *Let V be a finite set of variables, $\mathcal{F} = (V, \Gamma, O_1, \cdots, O_n)$ a knowledge structure, α a proposition over V, and for an agent i, WSC_i^α and SNC_i^α a weakest sufficient condition and a strongest necessary condition of α over O_i under Γ respectively. Then, for each state s of $\mathcal{F}$,*

$$(\mathcal{F}, s) \models K_i\alpha \Leftrightarrow WSC_i^\alpha$$

and

$$(\mathcal{F}, s) \models \neg K_i\neg\alpha \Leftrightarrow SNC_i^\alpha.$$

Proof: We only show $(\mathcal{F}, s) \models K_i\alpha \Leftrightarrow WSC_i^\alpha$, while the other part can be proved in a similar way. Because WSC_i^α is a sufficient condition of α under Γ, we have $\Gamma \models WSC_i^\alpha \Rightarrow \alpha$. Let θ be the conjunction of all formulas in Γ, then we have $\models \theta \Rightarrow (WSC_i^\alpha \Rightarrow \alpha)$, which leads to $(\mathcal{F}, s) \models K_iWSC_i^\alpha \Rightarrow K_i\alpha$ (by item 5 of Lemma 10.) Because WSC_i^α is i-local, by Lemma 10 (item 3) again, we have $(\mathcal{F}, s) \models WSC_i^\alpha \Rightarrow K_iWSC_i^\alpha$. Hence, $(\mathcal{F}, s) \models WSC_i^\alpha \Rightarrow K_i\alpha$.

To show the other direction $(\mathcal{F}, s) \models K_i\alpha \Rightarrow WSC_i^\alpha$, we consider the formula $\forall(V - O_i)(\theta \Rightarrow \alpha)$, where θ is the same as above. By Proposition 6, we have $\Gamma \models \forall(V - O_i)(\theta \Rightarrow \alpha) \Rightarrow WSC_i^\alpha$. On the other hand, we know that $(\mathcal{F}, s) \models K_i\alpha \Rightarrow \forall(V - O_i)(\theta \Rightarrow \alpha)$ by the definition of $K_i\alpha$. This proves $(\mathcal{F}, s) \models K_i\alpha \Rightarrow WSC_i^\alpha$. ∎

The following corollary presents a symbolic way to compute an agent's knowledge.

Corollary 14 *Let V be a finite set of variables, $\mathcal{F} = (V, \{\theta\}, O_1, \cdots, O_n)$ a knowledge structure with n agents, and α a formula over V. Then, for every state s of $\mathcal{F}$,*

$$(\mathcal{F}, s) \models K_i\alpha \Leftrightarrow \forall(V - O_i)(\theta \Rightarrow \alpha).$$

Proof: Immediately by Theorem 13 and Proposition 6. ∎

Example 15: Now we consider the communication scenario between Alice and Bob addressed in section 1 once again. To show how our system can deal with the knowledge reasoning issue in this scenario, we define a knowledge structure $\mathcal{F}$ as follows:

$$\mathcal{F} = (V, \{\theta\}, O_A, O_B),$$

where

- $O_A = \{Alice\_send\_msg, Alice\_recv\_ack\}$,

- $O_B = \{Bob\_recv\_msg, Bob\_send\_ack\}$,
- $V = O_A \cup O_B$, and
- θ is the conjunction of the following three formulas:

$$Bob\_recv\_msg \Rightarrow Alice\_send\_msg,$$
$$Bob\_send\_ack \Rightarrow Bob\_recv\_msg,$$
$$Alice\_recv\_ack \Rightarrow Bob\_send\_ack,$$

Now given a state of $\mathcal{F}$

$$s = \left\{ \begin{array}{l} Alice\_send\_msg, \\ Alice\_recv\_ack, \\ Bob\_recv\_msg, \\ Bob\_send\_ack \end{array} \right\},$$

we would like to know whether Alice knows that Bob received the message. Consider the formula

$$\forall \left\{ \begin{array}{l} Bob\_recv\_msg, \\ Bob\_send\_ack \end{array} \right\} (\theta \Rightarrow Bob\_recv\_msg).$$

From Definition 1, the above formula is simplified as $Alice\_recv\_ack$, which, obviously, is satisfied in the scenario $(\mathcal{F}, s)$, i. e. ,

$$(\mathcal{F}, s) \models Alice\_recv\_ack.$$

Then from Corollary 10, we have

$$(\mathcal{F}, s) \models K_A Bob\_recv\_msg.$$

Similarly, we can show that

$$(\mathcal{F}, s) \models K_A Alice\_send\_msg$$

and

$$(\mathcal{F}, s) \models K_A Alice\_recv\_ack,$$

which indicates that Alice knows that she sent the message and she knows that she received acknowledgement from Bob. ∎

Given a set of states S of a knowledge structure $\mathcal{F}$ and a formula α, by $(\mathcal{F}, S) \models \alpha$, we mean that for each $s \in S$, $(\mathcal{F}, s) \models \alpha$. The following proposition presents an alternative way to compute an agent's knowledge.

Proposition 16 *Let V be a finite set of variables, $\mathcal{F} = (V, \Gamma, O_1, \cdots, O_n)$ a knowledge structure with n agents, ψ a formula over V, and α a formula in $\mathcal{L}_n^C$. Suppose that SNC_i^ψ is a strongest necessary condition of ψ over O_i under Γ, S_ψ denotes the set of those states s of $\mathcal{F}$ such that $(\mathcal{F}, s) \models \psi$, and $S_{SNC_i^\psi}$ denotes the set of those states s such that $(\mathcal{F}, s) \models SNC_i^\psi$. Then, for each agent i, we have that*

$$(\mathcal{F}, S_\psi) \models K_i\alpha \text{ iff } (\mathcal{F}, S_{SNC_i^\psi}) \models \alpha.$$

Proof: Let S_1 be the set of all states s satisfying $(\mathcal{F}, s) \models \exists(V - O_i)(\theta \wedge \psi)$. Because $\Gamma \models SNC_i^{\psi} \Leftrightarrow \exists(V - O_i)(\theta \wedge \psi)$, we have $S_1 = S_{SNC_i^{\psi}}$. Also it is easy to see that for state s of $\mathcal{F}$, $s \in S_1$ iff there is a state s' of $\mathcal{F}$ such that $s' \models \psi$ and $s \cap O_i = s' \cap O_i$. Therefore we have $(\mathcal{F}, S_\psi) \models K_i\alpha$ iff $S_1 \subseteq \{s \mid (\mathcal{F}, s) \models \alpha\}$. This leads to $(\mathcal{F}, S_\psi) \models K_i\alpha$ iff $(\mathcal{F}, S_1) \models \alpha$ iff $(\mathcal{F}, S_{SNC_i^{\psi}}) \models \alpha$. ∎

The intuitive meaning behind Proposition 16 is that if all we know about the current state is ψ, then all we know about agent i's knowledge (or agent i's observations) is the strongest necessary condition of ψ over O_i.

Proposition 17 *Let V be a finite set of variables, $\mathcal{F} = (V, \{\theta\}, O_1, \cdots, O_n)$ a knowledge structure with n agents, α and ψ two formulas over V, and S_ψ denotes the set of states s of $\mathcal{F}$ such that $(\mathcal{F}, s) \models \psi$. Then, for each agent $i_1, \cdots, i_k$, we have $(\mathcal{F}, S_\psi) \models K_{i_1} \cdots K_{i_k}\alpha$ holds iff*

$$\models \theta \wedge \psi_k \Rightarrow \alpha$$

where ψ_k is defined inductively as follows:

$$\psi_1 = \exists(V - O_{i_1})(\theta \wedge \psi);$$

and for each $j < k$,

$$\psi_{j+1} = \exists(V - O_{i_{j+1}})(\theta \wedge \psi_j).$$

Proof: We show this proposition by induction on the nested depth of knowledge operations. The base case is implied directly by Proposition 16. Assume that the claim holds for those cases with nested depth k, we want to show it also holds when the nested depth is $k + 1$, i. e. ,

$$(\mathcal{F}, S_\psi) \models K_{i_1} \cdots K_{i_{k+1}}\alpha \text{ iff } \models \theta \wedge \psi_{k+1} \Rightarrow \alpha.$$

By Proposition 16, we have

$$(\mathcal{F}, S_\psi) \models K_{i_1} \cdots K_{i_{k+1}}\alpha \text{ iff } (\mathcal{F}, S_{\psi_1}) \models K_{i_2} \cdots K_{i_{k+1}}\alpha.$$

By the inductive assumption, we have that

$$(\mathcal{F}, S_{\psi_1}) \models K_{i_2} \cdots K_{i_{k+1}}\alpha \text{ iff } \models \theta \wedge \psi_{k+1} \Rightarrow \alpha.$$

Combining two assertions above, we get

$$(\mathcal{F}, S_\psi) \models K_{i_1} \cdots K_{i_{k+1}}\alpha \text{ iff } \models \theta \wedge \psi_{k+1} \Rightarrow \alpha.$$

∎

When we consider the case where the nested depth of knowledge operators is more than 2, we get the following corollary.

Corollary 18 *Let $V, \mathcal{F}, \alpha, \psi$ and S_ψ be as in Proposition 17. Then, for each agent i and each agent j, we have*

1. $(\mathcal{F}, S_\psi) \models K_i\alpha$ *holds iff*

$$\models (\theta \wedge \exists(V - O_i)(\theta \wedge \psi)) \Rightarrow \alpha;$$

2. $(\mathcal{F}, S_\psi) \models K_jK_i\alpha$ *holds iff*

$$\models (\theta \wedge \exists(V - O_i)(\theta \wedge \exists(V - O_j)(\theta \wedge \psi))) \Rightarrow \alpha.$$

Proof: Immediately from Proposition 17. ∎

As will be illustrated in our analysis of security protocols (i.e. Section 6), the part 2 of Corollary 18 is useful for verifying protocol specifications with nested knowledge operators. Given a background knowledge base θ, when we face the task of testing whether $K_jK_i\alpha$ holds in those states satisfying ψ, by part 2 of Corollary 18, we can first get $\phi_1 = \exists(V-O_j)(\theta\wedge\psi)$, which is a strongest necessary condition of ψ over O_j. This is all we know about what agent j observes from ψ. Then we compute $\phi_2 = \exists(V-O_i)(\theta\wedge\phi_1)$, i. e. , the strongest necessary condition of ϕ_1 over O_i which is, from the viewpoint of agent j, about what agent i observes. In this way, the task of checking $K_jK_i\alpha$ is reduced to a task of checking $\theta \wedge \phi_2 \Rightarrow \alpha$.

Corollary 19 *Let V be a finite set of propositional variables and $\mathcal{F} = (V, \{\theta\}, O_1, \cdots, O_n)$ a knowledge structure with n agents, α and ψ two formulas over V. Suppose that S_ψ denotes the set of all states s of $\mathcal{F}$ such that $(\mathcal{F}, s) \models \psi$, and SNC_i^ψ and WSC_i^α are a strongest necessary condition of ψ over O_i and a weakest sufficient condition of α over O_i under $\{\theta\}$ respectively. Then*

1. $(\mathcal{F}, S_\psi) \models K_i\alpha$ *iff* $\models (\theta \wedge \psi) \Rightarrow WSC_i^\alpha$*; and*

2. $(\mathcal{F}, S_\psi) \models K_i\alpha$ *iff* $\models (\theta \wedge SNC_i^\psi) \Rightarrow \alpha$.

Proof: The first part of the corollary follows from Theorem 13 and Lemma 10, while the second part follows immediately by Proposition 16. ∎

In our analysis of security protocols, we observe that very often, it seems more efficient to check an agent's knowledge via the second part of Corollary 19 rather than via the first part. But this may not be always true for some other applications (e.g. see the example of the muddy children puzzle in the next section).

4. Common Knowledge

Common knowledge is a special kind of knowledge for a group of agents, which plays an important role in reasoning about knowledge (Fagin et al., 1995). A group of agents Δ commonly know φ when all the agents in Δ know φ, they all know that they know φ, they all know that they all know that they know φ, and so on ad infinitum. We recall that common knowledge can be characterized in term of Kripke structure. Given a Kripke structure $M = (W, \pi, \mathcal{K}_1, \cdots, \mathcal{K}_n)$, a group Δ of agents commonly know φ (or in modal logic language, $C_\Delta\varphi$ is true) in a world w iff φ is true in all worlds w' such that $(w, w') \in \mathcal{C}_\Delta$, where $\mathcal{C}_\Delta$ denotes the transitive closure of $\bigcup_{i\in\Delta} \mathcal{K}_i$.

In this section, we generalize the concept of weakest sufficient and strongest necessary conditions so that they can be used to compute common knowledge.

4.1 Generalized Weakest Sufficient and Strongest Necessary Conditions

The following gives a generalized notion of weakest sufficient conditions and strongest necessary conditions.

Definition 20 Given a set of formulas Γ over V as a background knowledge base. Let α be a formula over V, and $\mathcal{V}$ a set of subsets of V.

- A formula φ is called *$\mathcal{V}$-definable* under Γ (or simply called *$\mathcal{V}$-definable* if there is no confusion in the context), if for each $P \in \mathcal{V}$, there is a formula ψ_P over P such that $\Gamma \models \varphi \Leftrightarrow \psi_P$.
- A formula φ is called a *$\mathcal{V}$-sufficient condition of* α under Γ if it is $\mathcal{V}$-definable and $\Gamma \models \varphi \Rightarrow \alpha$. It is called a *weakest $\mathcal{V}$-sufficient condition of* α under Γ if it is a $\mathcal{V}$-sufficient condition of α under Γ, and for any other $\mathcal{V}$-sufficient condition φ' of α under Γ, we have $\Gamma \models \varphi' \Rightarrow \varphi$.
- Similarly, formula φ is called a *$\mathcal{V}$-necessary condition of* α under Γ if it is $\mathcal{V}$-definable and $\Gamma \models \alpha \Rightarrow \varphi$. It is called a *strongest $\mathcal{V}$-necessary condition of* α under Γ if it is a $\mathcal{V}$-necessary condition of α under Γ, and for any other $\mathcal{V}$-necessary condition φ' of α under Γ, we have $\Gamma \models \varphi \Rightarrow \varphi'$.

We notice that the notion of $\mathcal{V}$-definability introduced here is a simple elaboration of the notion of V-definability as given in (Lang & Marquis, 1998): ϕ is $\mathcal{V}$-definable under Γ iff ϕ is V-definable under Γ for each $V \in \mathcal{V}$. Moreover, it is easy to see that that the formulas implied by Γ or inconsistent with it are exactly the formulas $\emptyset$-definable under Γ, and that definability exhibits a monotonicity property: if ϕ is V-definable under Γ, then ϕ is V'-definable under Γ for each superset V' of V (Lang & Marquis, 1998).

To give some intuition and motivation of the above definition, let us consider the following example.

Example 21: Imagine that there are two babies, say Marry and Peter, playing with a dog. Suppose the propositions "The dog is moderately satisfied" (denoted by m, for short) and "The dog is full"(f) are understandable to Marry, and the propositions "The dog is hungry" (h) and "The dog is unhappy"(u) are understandable to Peter.

Let $\Gamma = \{h \Rightarrow u, \neg(m \wedge f), (m \vee f) \Leftrightarrow \neg h\}$, $V_1 = \{m, f\}$, $V_2 = \{h, u\}$, and $\mathcal{V} = \{V_1, V_2\}$. We will show that

1. h is $\mathcal{V}$-definable under Γ;
2. h is a weakest $\mathcal{V}$-sufficient condition of u under Γ; and
3. $\neg h$ is a strongest $\mathcal{V}$-necessary condition of $\neg u$ under Γ.

The first claim is easy to check by the definition. The last two claims follow immediately if we can prove that all the $\mathcal{V}$-definable propositions under Γ are $false$, $true$, h and $\neg h$ (up to logical equivalence under Γ). There are 16 propositions over V_1 up to logical equivalence and 8 propositions over V_2 up to logical equivalence under Γ. The 8 propositions are: $true, false, m, \neg m, f, \neg f, m \vee f, \neg m \wedge \neg f$. Similarly, there are 8 propositions over V_1 up to

logical equivalence under Γ, i.e., $true, false, h, \neg h, u, \neg u, h \vee \neg u, \neg h \wedge u$. However, we can find, between the two classes of propositions, only 4 pairs of equivalence relations under Γ, i.e., $\Gamma \models true \Leftrightarrow true$, $\Gamma \models false \Leftrightarrow false$, $\Gamma \models (m \vee f) \Leftrightarrow \neg h$, $\Gamma \models (\neg m \wedge \neg f) \Leftrightarrow h$. Therefore, all the $\mathcal{V}$-definable propositions under Γ are $false$, $true$, h and $\neg h$ (up to logical equivalence under Γ).

∎

Example 22: Now we recall the background knowledge Γ_{CS} about the communication scenario between Alice and Bob in the introduction section. Γ_{CS} is the set of the following three formulas:

$$Bob\_recv\_msg \Rightarrow Alice\_send\_msg$$
$$Bob\_send\_ack \Rightarrow Bob\_recv\_msg$$
$$Alice\_recv\_ack \Rightarrow Bob\_send\_ack$$

Let

$$O_A = \{Alice\_send\_msg, Alice\_recv\_ack\},$$
$$O_B = \{Bob\_recv\_msg, Bob\_send\_ack\},$$
$$\mathcal{V}_{AB} = \{O_A, O_B\}.$$

Clearly, if a formula φ is logically implied by Γ_{CS} or inconsistent with Γ_{CS}, then φ is $\mathcal{V}_{AB}$-definable under Γ_{CS}. Moreover, as in Example 21, we are able to check that there are no $\mathcal{V}_{AB}$-definable formulas other than those implied by Γ_{CS} or inconsistent with Γ_{CS}. Therefore, given a formula α, a weakest $\mathcal{V}_{AB}$-sufficient condition of α under Γ_{CS} is implied by Γ_{CS} if $T \models \alpha$, or inconsistent with Γ_{CS}. ∎

Given a set of formulas Γ over V as a background knowledge base and $P \subseteq V$, a formula is a weakest $\{P\}$-sufficient condition of α under Γ iff it is equivalent to a weakest sufficient condition of α over P.

The following lemma says that the notions of weakest $\mathcal{V}$-sufficient conditions and strongest $\mathcal{V}$-necessary ones are dual to each other.

Lemma 23 *Given a set of formulas Γ over V as a background knowledge base, and $\mathcal{V}$ a set of subsets of V. Let φ and α be formulae over V. Then, we have that φ is a weakest $\mathcal{V}$-sufficient condition of α under Γ iff $\neg\varphi$ is a strongest $\mathcal{V}$-necessary condition of $\neg\alpha$ under Γ.*

Proof: Let Γ, V and $\mathcal{V}$ be as given in the lemma. We will show that if φ is a weakest $\mathcal{V}$-sufficient condition of α under Γ then $\neg\varphi$ is a strongest $\mathcal{V}$-necessary condition of $\neg\alpha$ under Γ. Let φ be a weakest $\mathcal{V}$-sufficient condition of α under Γ. Then, by the definition, φ is $\mathcal{V}$-definable, and so is $\neg\varphi$. For an arbitrarily given $\mathcal{V}$-necessary condition φ' of $\neg\alpha$, we have $\Gamma \models \neg\alpha \Rightarrow \varphi'$, i.e., $\Gamma \models \neg\varphi' \Rightarrow \alpha$. Thus, $\neg\varphi'$ is a $\mathcal{V}$-sufficient condition of α. Because φ is a weakest $\mathcal{V}$-sufficient condition of α, we get that $\Gamma \models \neg\varphi' \Rightarrow \varphi$, i.e., $\Gamma \models \neg\varphi \Rightarrow \varphi'$. Thus, $\neg\varphi$ is a strongest $\mathcal{V}$-necessary condition of $\neg\alpha$ under Γ. ∎

Let Γ be a set of formulas, V a set of propositional variables, and $\mathcal{V}$ a set of subsets of V. The following proposition gives the existence of weakest $\mathcal{V}$-sufficient and strongest $\mathcal{V}$-necessary conditions. For a given formula α over V, a weakest $\mathcal{V}$-sufficient condition ϕ_1 of α and a strongest $\mathcal{V}$-necessary condition ϕ_2 of α can be obtained in the proposition. Indeed,

the set of assignments satisfying ϕ_1 and that of assignments satisfying ϕ_2 can be given in terms of relation $\mathcal{E}_{\mathcal{V}}$.

Proposition 24 *Given a finite set V of propositional variables, a set Γ of formulas over V as a background knowledge base, a formula α over V, and a set $\mathcal{V}$ of subsets of V. Denote by S^{α}_{WSC} the set of assignments s over V such that $s \models \Gamma$, and for all assignments s' satisfying Γ with $(s, s') \in \mathcal{E}^*_{\mathcal{V}}$, $s' \models \alpha$. Also denote by S^{α}_{SNC} the set of assignments s over V such that $s \models \Gamma$, and there exists an s' such that $s' \models \Gamma$, $s' \models \alpha$ and $(s, s') \in \mathcal{E}^*_{\mathcal{V}}$.*

- *if a formula satisfies exactly those assignments in S^{α}_{WSC}, then the formula is a weakest $\mathcal{V}$-sufficient condition of α under Γ; and*
- *if a formula satisfies exactly those assignments in S^{α}_{SNC}, then the formula is a strongest $\mathcal{V}$-necessary condition of α under Γ.*

Proof: We first prove the former point, and then show the other by Lemma 23. Let ϕ_1 be a boolean formula over V such that, for all assignments s, $s \models \phi_1$ iff $s \in S^{\alpha}_{WSC}$. Then, for every assignment $s \in S^{\alpha}_{WSC}$, we have $s \models \alpha$ because $(s, s) \in \mathcal{E}^*_{\mathcal{V}}$. Thus, $\phi_1 \models \alpha$.

Before we proceed the proof, we remark that for arbitrarily given formula φ over V and assignment s over V, $s \models \forall(V-P)\varphi$ iff for all assignments s' over V such that $s \cap P = s' \cap P$, we have $s' \models \varphi$.

To prove that ϕ_1 is $\mathcal{V}$-definable, we show that, for each $P \in \mathcal{V}$, $\phi_1 \models \forall(V-P)\phi_1$, which implies that ϕ_1 is equivalent to the formula $\forall(V-P)\phi_1$ over P. To prove $\phi_1 \models \forall(V-P)\phi_1$, in a semantical way, it suffices to show that, for every assignment $s \in S^{\alpha}_{WSC}$ and $s' \models \Gamma$, if $s \cap P = s' \cap P$, then $s' \in S^{\alpha}_{WSC}$. Let s and s' be given as above and suppose $s \cap P = s' \cap P$. Then, $(s, s') \in \mathcal{E}_{\mathcal{V}}$. Given an assignment t such that $t \models \Gamma$, if $(s', t) \in \mathcal{E}^*_{\mathcal{V}}$, then $(s, t) \in \mathcal{E}^*_{\mathcal{V}}$ by $(s, s') \in \mathcal{E}_{\mathcal{V}}$. Thus, $s' \in S^{\alpha}_{WSC}$. This proves that ϕ_1 is $\mathcal{V}$-definable.

Now we show that ϕ_1 is a weakest $\mathcal{V}$-sufficient condition under Γ. Suppose ϕ is a $\mathcal{V}$-definable and sufficient condition of α under Γ, we want to prove that $\Gamma \models \phi \Rightarrow \phi_1$. The semantical argument of such a proof is as follows. Let s be an assignment with $s \models \Gamma$ and ϕ, we must show that $s \in S^{\alpha}_{WSC}$, i.e., for every assignment s' with $s' \models \Gamma$ such that $(s, s') \in \mathcal{E}^*_{\mathcal{V}}$, $s' \models \alpha$. Because $\Gamma \models \phi \Rightarrow \alpha$, it suffices to show that $s' \models \phi$. By the condition $(s, s') \in \mathcal{E}^*_{\mathcal{V}}$, there is a finite sequence of assignments $s_0, \cdots, s_k$ such that $s_j \models \Gamma$ with $s_0 = s$ and $s_k = s'$, and for every $j < k$, $(s_j, s_{j+1}) \in \mathcal{E}_{\mathcal{V}}$. By the $\mathcal{V}$-definability of ϕ, we know that for every $j < k$, $s_j \models \phi$ implies $s_{j+1} \models \phi$. Thus, we have $s' \models \phi$ by induction.

Now we prove the second point of this proposition by Lemma 23. Let ϕ_2 be a boolean formula over V such that, for all assignments s, $s \models \phi_2$ iff $s \in S^{\alpha}_{SNC}$. Let θ be the conjunction of formulas in Γ. Then, $s \models \neg\phi_2 \wedge \theta$ iff for all assignments s' with $s' \models \Gamma$ such that $s\mathcal{E}^*_{\mathcal{V}}s'$, we have $s' \models \neg\varphi$. Thus, by the first point of this proposition, we have that $\neg\phi_2 \wedge \theta$ is a weakest $\mathcal{V}$-sufficient condition of $\neg\alpha$. Thus, $\phi_2 \vee \neg\theta$ and hence ϕ_2 is a strongest $\mathcal{V}$-necessary condition of α according to Lemma 23. ∎

The above proposition can be thought of as a semantical characterization of weakest $\mathcal{V}$-sufficient and strongest $\mathcal{V}$-necessary conditions.

4.2 Characterizations with Least and Greatest Fixed Points

We investigate the computation of the weakest $\mathcal{V}$-sufficient and strongest $\mathcal{V}$-necessary conditions by using the notions of a least and a greatest fixed points of an operator, which is introduced as follows.

Let ξ be an operator from the set of boolean formulas over $\mathbf{x}$ to the set of boolean formulas over $\mathbf{x}$. We say a ψ is a *fixed point* of ξ, if $\models \xi(\psi) \Leftrightarrow \psi$. We say a ψ_0 is a *greatest fixed point* of ξ, if ψ_0 is a fixed point of ξ and for every fixed point ψ of ξ, we have $\models \psi \Rightarrow \psi_0$. Clearly, any two greatest fixed points are logically equivalent to each other. Thus, we denote a greatest fixed point of ξ by $\mathbf{gfp}Z\xi(Z)$. Similarly, We say a ψ_0 is a *least fixed point* of ξ, if ψ_0 is a fixed point of ξ and for every fixed point ψ of ξ, we have $\models \psi_0 \Rightarrow \psi$. We denote a least fixed point of ξ by $\mathbf{lfp}Z\xi(Z)$. We say ξ is *monotonic*, if for every two formulas ψ_1 and ψ_2 such that $\models \psi_1 \Rightarrow \psi_2$, we have $\models \xi(\psi_1) \Rightarrow \xi(\psi_2)$. For a finite set $\mathbf{x}$ of boolean formulas if ξ is monotonic, then there exist a least fixed point and a greatest fixed point (Tarski, 1955).

Theorem 25 *Let V be a finite set of variables, $\mathcal{F} = (V, \{\theta\}, O_1, \cdots, O_n)$ a knowledge structure, α a formula over V, $\Delta \subseteq \{1, \cdots, n\}$, $\mathcal{V}_\Delta = \{O_i \mid i \in \Delta\}$. Assume that Λ_1 and Λ_2 be two operators such that*

$$\Lambda_1(Z) = \bigwedge_{i \in \Delta} \forall(\mathbf{x} - O_i)(\theta \Rightarrow Z)$$

and

$$\Lambda_2(Z) = \bigvee_{i \in \Delta} \exists(\mathbf{x} - O_i)(\theta \wedge Z).$$

Then,

- *a weakest $\mathcal{V}_\Delta$-sufficient condition of α under $\{\theta\}$ is equivalent to* **gfp** $Z(\alpha \wedge \Lambda_1(Z))$; *and*
- *a strongest $\mathcal{V}_\Delta$-necessary condition of α under $\{\theta\}$ is equivalent to* **lfp** $Z(\alpha \vee \Lambda_2(Z))$.

Proof: Let WSC^α_Δ be a weakest $\mathcal{V}_\Delta$-sufficient condition of α under $\{\theta\}$. Note that the operator $(\alpha \wedge \Lambda_1(Z))$ is monotonic and thus there exists a greatest fixed point of it. Let ψ_1= **gfp** $Z(\alpha \wedge \Lambda_1(Z))$. To prove the first point of this theorem, we must show that $\theta \models WSC^\alpha_\Delta \Leftrightarrow \psi_1$.

We first show that $\theta \models WSC^\alpha_\Delta \Rightarrow \psi_1$. For this purpose, we only need to prove

1. $\theta \models WSC^\alpha_\Delta \Rightarrow (\alpha \wedge \Lambda_1(\mathbf{true}))$; and
2. for all formulas φ on V, if $\theta \models WSC^\alpha_\Delta \Rightarrow \varphi$, then $\theta \models WSC^\alpha_\Delta \Rightarrow (\alpha \wedge \Lambda_1(\varphi))$.

The first point is trivially true because $\Lambda_1(\mathbf{true})$ is equivalent to **true** and WSC^α_Δ is a sufficient condition of α under $\{\theta\}$. To show the second point, suppose $\theta \models WSC^\alpha_\Delta \Rightarrow \varphi$. For $i \in \Delta$, let α_i be the formula over O_i such that $\theta \models WSC^\alpha_\Delta \Leftrightarrow \alpha_i$. Then, $\theta \models \alpha_i \Rightarrow \varphi$. It follows that $\models \alpha_i \Rightarrow (\theta \Rightarrow \varphi)$ and hence $\models \alpha_i \Rightarrow \forall(V - O_i)(\theta \Rightarrow \varphi)$ because α_i does not depend on the variables in $(V - O_i)$. So, we have that, for all $i \in \Delta$, $\theta \models WSC^\alpha_\Delta \Rightarrow \forall(V - O_i)(\theta \Rightarrow \varphi)$. The conclusion of the second point follows immediately.

We now show that $\theta \models \psi_1 \Rightarrow WSC^\alpha_\Delta$, or $\theta \models (\theta \Rightarrow \psi_1) \Rightarrow WSC^\alpha_\Delta$. It suffices to show that $\theta \Rightarrow \psi_1$ is $\mathcal{V}_\Delta$-sufficient condition of α under $\{\theta\}$, that is,

1. $\theta \Rightarrow \psi_1$ is $\mathcal{V}_\Delta$ definable; and

2. $\theta \models (\theta \Rightarrow \psi_1) \Rightarrow \alpha$.

By the fact that ψ_1 is a fixed point of the operator $(\alpha \wedge \Lambda_1(Z))$, we have that

$$\models \psi_1 \Rightarrow (\alpha \wedge \bigwedge_{i \in \Delta} \forall(\mathbf{x} - O_i)(\theta \Rightarrow \psi_1)).$$

It follows that $\models \psi_1 \Rightarrow \alpha$, and hence $\theta \models (\theta \Rightarrow \psi_1) \Rightarrow \alpha$. To show the other point, for $i \in \Delta$, we need to prove that $\theta \Rightarrow \psi_1$ is equivalent to a formula over O_i. By the above, we have that $\psi_1 \Rightarrow \forall(V - O_i)(\theta \Rightarrow \psi_1)$. It follows that $\theta \models (\theta \Rightarrow \psi_1) \Rightarrow \forall(V - O_i)(\theta \Rightarrow \psi_1)$, and hence

$$\theta \models (\theta \Rightarrow \psi_1) \Leftrightarrow \forall(V - O_i)(\theta \Rightarrow \psi_1)$$

because $\models \forall(V - O_i)(\theta \Rightarrow \psi_1) \Rightarrow (\theta \Rightarrow \psi_1)$ holds trivially. Thus $(\theta \Rightarrow \psi_1)$ is equivalent under θ to $\forall(V - O_i)(\theta \Rightarrow \psi_1)$, which is over O_i. This completes the first point of the conclusion of the theorem.

We now show the second point of this theorem by using the first point and Lemma 23.

Let SNC^α_Δ be a strongest $\mathcal{V}_\Delta$-necessary condition of α under $\{\theta\}$. By Lemma 23, $\neg SNC^\alpha_\Delta$ is a weakest $\mathcal{V}_\Delta$-sufficient condition of $\neg\alpha$ under $\{\theta\}$. Thus, by the first point of this theorem, $\neg SNC^\alpha_\Delta$ is equivalent to **gfp** $Z(\neg\alpha \wedge \Lambda_1(Z))$ under θ. Hence, SNC^α_Δ is equivalent to $\neg$**gfp** $Z(\neg\alpha \wedge \Lambda_1(Z))$ under θ. However, $\neg$**gfp** $Z(\neg\alpha \wedge \Lambda_1(Z))$ is logically equivalent to **lfp** $Z(\neg(\neg\alpha \wedge \Lambda_1(\neg Z)))$, which is in turn equivalent to **lfp** $Z(\alpha \vee \Lambda_2(Z))$. This completes the second point of the theorem. ∎

4.3 Common Knowledge as Weakest $\mathcal{V}$-sufficient Conditions

Given a set Δ of agents and a family $\mathcal{V}_\Delta$ of observable variable sets of these agents, we investigate the relationship between common knowledge and the weakest $\mathcal{V}_\Delta$-sufficient and strongest $\mathcal{V}_\Delta$-necessary conditions.

Theorem 26 *Let V be a finite set of variables, $\mathcal{F} = (V, \Gamma, O_1, \cdots, O_n)$ a knowledge structure, $\Delta \subseteq \{1, \cdots, n\}$, $\mathcal{V}_\Delta = \{O_i \mid i \in \Delta\}$, α a formula over V, and WSC^α_Δ and SNC^α_Δ a weakest $\mathcal{V}_\Delta$-sufficient condition and a strongest $\mathcal{V}_\Delta$-necessary condition of α under Γ respectively. Then, for every state s of $\mathcal{F}$,*

$$(\mathcal{F}, s) \models C_\Delta\alpha \Leftrightarrow WSC^\alpha_\Delta$$

and

$$(\mathcal{F}, s) \models \neg C_\Delta\neg\alpha \Leftrightarrow SNC^\alpha_\Delta.$$

Proof: We only show the first part of this theorem, i.e., $(\mathcal{F}, s) \models C_\Delta\alpha \Leftrightarrow WSC^\alpha_\Delta$, by which and Lemma 23 we can get the other part immediately. Because WSC^α_Δ is a sufficient condition of α, we have that $\Gamma \models WSC^\alpha_\Delta \Rightarrow \alpha$. Let θ be the conjunction of all formulas in Γ, we have that $\models \theta \Rightarrow (WSC^\alpha_\Delta \Rightarrow \alpha)$, which leads to $(\mathcal{F}, s) \models C_\Delta WSC^\alpha_\Delta \Rightarrow C_\Delta\alpha$ (by point 6 of Lemma 10). Because WSC^α_Δ is $\mathcal{V}_\Delta$-definable, we have, by point 4 of Lemma 10, $(\mathcal{F}, s) \models WSC^\alpha_\Delta \Rightarrow C_\Delta WSC^\alpha_\Delta$. Hence, $(\mathcal{F}, s) \models WSC^\alpha_\Delta \Rightarrow C_\Delta\alpha$.

To show the other direction $(\mathcal{F}, s) \models C_\Delta\alpha \Rightarrow WSC^\alpha_\Delta$, we consider the formula ψ_1 in the proof of Theorem 25, i.e., the greatest fixed point of the operator

$$\xi(Z) = \alpha \wedge \bigwedge_{i\in\Delta} \forall(V - O_i)(\theta \Rightarrow Z).$$

Because we already have $(\mathcal{F}, s) \models \psi_1 \Rightarrow WSC^\alpha_\Delta$ by Theorem 25, it suffices to show $(\mathcal{F}, s) \models C_\Delta\alpha \Rightarrow \psi_1$. Because the greatest fixed point ψ_1 of the operator ξ can be obtained by a finite iteration of the operator with the starting point $\xi(\mathbf{true})$, we only need to prove that

1. $\mathcal{F} \models C_\Delta\alpha \Rightarrow \xi(\mathbf{true})$; and
2. for an arbitrary boolean formula φ over V, if $\mathcal{F} \models C_\Delta\alpha \Rightarrow \varphi$, then $\mathcal{F} \models C_\Delta\alpha \Rightarrow \xi(\varphi)$.

The first point is trivially true because $\xi(\mathbf{true})$ is equivalent to α. To prove the second, suppose $\mathcal{F} \models C_\Delta\alpha \Rightarrow \varphi$. Then, for each $i \in \Delta$, $\mathcal{F} \models K_i(C_\Delta\alpha \Rightarrow \varphi)$. Thus, we have that $\mathcal{F} \models C_\Delta\alpha \Rightarrow K_i\varphi$ by points 5 and 7 of Lemma 10. Hence, $\mathcal{F} \models C_\Delta\alpha \Rightarrow \forall(V - O_i)(\theta \Rightarrow \varphi)$ (by Corollary 14). It follows that $\mathcal{F} \models C_\Delta\alpha \Rightarrow \bigwedge_{i\in\Delta} \forall(V - O_i)(\theta \Rightarrow \varphi)$ and hence $\mathcal{F} \models C_\Delta\alpha \Rightarrow \xi(\varphi)$. We thus get $\mathcal{F} \models C_\Delta\alpha \Rightarrow \psi_1$. This completes the proof. ∎

Proposition 27 *Given V, $\mathcal{F}$, Δ, $\mathcal{V}_\Delta$, α as defined in Theorem 26. Let ψ be a formula over V. Assume that a strongest $\mathcal{V}_\Delta$-necessary condition of ψ is SNC^ψ_Δ. Denote by S_ψ the set of those states s of $\mathcal{F}$ such that $(\mathcal{F}, s) \models \psi$, and by $S_{SNC^\psi_\Delta}$ the set of those states s such that $(\mathcal{F}, s) \models SNC^\psi_\Delta$. Then, for each agent i, we have*

$$(\mathcal{F}, S_\psi) \models C_\Delta\alpha \text{ iff } (\mathcal{F}, S_{SNC^\psi_\Delta}) \models \alpha.$$

Proof: Let S_1 be the set of all states s such that there is a state s' with $s' \models \psi$ and $(s', s) \in \mathcal{V}_\Delta$. We have that $(\mathcal{F}, S_\psi) \models C_\Delta\alpha$ iff for every $s \in S_1$, $(\mathcal{F}, s) \models \alpha$. This leads to $(\mathcal{F}, S_\psi) \models C_\Delta\alpha$ iff $(\mathcal{F}, S_1) \models \alpha$. On the other hand, by Proposition 24, we have that $S_1 = S_{SNC^\psi_\Delta}$. Then the conclusion of the proposition follows immediately. ∎

Note that, in Proposition 27, if α is a formula, we have that $(\mathcal{F}, S_\psi) \models C_\Delta\alpha$ iff $\Gamma \models SNC^\psi_\Delta \Rightarrow \alpha$. Moreover, by Theorem 26, we have $(\mathcal{F}, S_\psi) \models C_\Delta\alpha$ iff $\Gamma \models \psi \Rightarrow WSC^\alpha_\Delta$, where WSC^α_Δ is a weakest $\mathcal{V}_\Delta$-sufficient of α.

5. Adding Public Announcement Operator

There is a recent trend of extending epistemic logic with dynamic operators so that the evolution of knowledge can be expressed. The most basic such extension is public announcement logic (PAL), which is obtained by adding an operator for truthful public announcements. In this section, we show that public announcement operator can be conveniently dealt with via our notion of knowledge structure.

5.1 Public Announcement Logic

Given a set of agents $A = \{1, \ldots, n\}$ and a set V of primitive propositions. The language of public announcement logic (PAL_n)is inductively defined as

$$\varphi ::= p|\neg\varphi|\varphi \wedge \psi|K_i\varphi|C_\Gamma\varphi|[\varphi]\psi$$

where $p \in V$, $i \in A$ and $\Gamma \subseteq A$.

In other words, PAL_n is obtained from epistemic logic $\mathcal{L}_n^C(V)$ by adding public announcement operator $[\varphi]$ for each formula φ. Formula $[\varphi]\psi$ means that "after public announcement of φ, formula ψ is true."

We now give the semantics of public announcement logic under Kripke Model. Given a Kripke structure $M = (W, \pi, \mathcal{K}_1, \ldots, \mathcal{K}_n)$, the semantics of the new operators is defined as follows.

$M, w \models [\varphi]\psi$ iff $M, w \models \varphi$ implies $M|_\varphi, w \models \psi$, where $M|_\varphi$ is a Kripke structure such that $M|_\varphi = (W', \pi', \mathcal{K}_1', \ldots, \mathcal{K}_n')$ and

- $W' = \{w \in W | M, w \models \varphi\}$,
- $\pi'(w')(p) = \pi(w')(p)$ for each $w' \in W'$ and each $p \in V$, and
- $\mathcal{K}_i' = \mathcal{K}_i \cap (W' \times W')$ for each $i \in A$.

5.2 Semantics under Knowledge Structure

The semantics of public announcement logic can be conveniently characterized by our notion of knowledge structure. We define the satisfaction relationship $\models$ between a scenario $(\mathcal{F}, s)$ and a formula in PAL_n. We need only consider those formulas of the form $[\varphi]\psi$; other cases are the same as in Definition 9.

Let V be a finite set of primitive propositions and $\mathcal{F} = (\Gamma, V, O_1, \cdots, O_n)$. The semantics definition for the new operators is as follows. First, let $\mathcal{F}|_\varphi$ be the knowledge structure $(\{\theta\}, V, O_1, \cdots, O_n)$, where θ is a boolean formula on V such that $(\mathcal{F}, s) \models \varphi$ iff s satisfies θ. Then, we set that $(\mathcal{F}, s) \models [\varphi]\psi$ iff $(\mathcal{F}, s) \models \varphi$ implies that $(\mathcal{F}|_\varphi, s) \models \psi$.

We remark that if formula φ is equivalent to boolean one φ', i.e., $\mathcal{F} \models \varphi \Leftrightarrow \varphi'$, then we can simply define $\mathcal{F}|_\varphi$ as $(\Gamma \cup \{\varphi'\}, V, O_1, \cdots, O_n)$.

The following proposition indicates that the semantics of public announcement logic under knowledge structure coincides with that under Kripke model.

Proposition 28 *Let V be a finite set of primitive propositions and $\mathcal{F} = (\Gamma, V, O_1, \cdots, O_n)$. For every state s of $\mathcal{F}$ and every formula $\alpha \in PAL_n$, we have that $(\mathcal{F}, s) \models \alpha$ iff the situation $(M(\mathcal{F}), s) \models \alpha$.*

Proof: Let us proceed by induction on the structure of formula α. We consider only the case that α is of the form $[\varphi]\psi$; other cases are straightforward by the definitions.

By the definition, we have that $(\mathcal{F}, s) \models [\varphi]\psi$ iff $(\mathcal{F}, s) \models \varphi$ implies that $(\mathcal{F}|_\varphi, s) \models \psi$. Thus, by the inductive assumption, we have that $(\mathcal{F}, s) \models [\varphi]\psi$ iff $(M(\mathcal{F}), s) \models \varphi$ implies that $(M(\mathcal{F}|_\varphi), s) \models \psi$. We want to show that $(\mathcal{F}, s) \models [\varphi]\psi$ iff $(M(\mathcal{F}), s) \models [\varphi]\psi$. It suffices to show that $M(\mathcal{F}|_\varphi)$ equals $M(\mathcal{F})|_\varphi$ because $(M(\mathcal{F}), s) \models [\varphi]\psi$ iff $(M(\mathcal{F}), s) \models \varphi$ implies that $(M(\mathcal{F})|_\varphi, s) \models \psi$.

First, the set of possible states of $M(\mathcal{F}|_\varphi)$ equals to the set of those states s' of $\mathcal{F}$ with $(\mathcal{F}, s') \models \varphi$. By the inductive assumption, $(\mathcal{F}, s') \models \varphi$ iff $(M(\mathcal{F}), s') \models \varphi$. Thus, the set of possible states of $M(\mathcal{F}|_\varphi)$ equals to the set of those states s' of $\mathcal{F}$ with $(M(\mathcal{F}), s') \models \varphi$, hence equals to the set of possible of $M(\mathcal{F}|)_\varphi$. Second, we have that for each s' of $\mathcal{F}$ with $(M(\mathcal{F}), s') \models \varphi$, $\pi^{M(\mathcal{F}|_\varphi)}(s') = s'$ and $\pi^{M(\mathcal{F})|_\varphi}(s') = \pi^{M(\mathcal{F})}(s') = s'$. Hence $\pi^{M(\mathcal{F}|_\varphi)} =$

$\pi^{M(\mathcal{F})|_\varphi}$. Finally, for all states s_1 and s_2 of $\mathcal{F}$ with $(M(\mathcal{F}), s_1) \models \varphi$ and $(M(\mathcal{F}), s_2) \models \varphi$, we have that $(s_1, s_2) \in \mathcal{K}_i^{M(\mathcal{F}|_\varphi)}$ iff $(s_1, s_2) \in \mathcal{K}_i^{M(\mathcal{F})}$ iff $s_1 \cap O_i = s_2 \cap O_i$. Moreover, $(s_1, s_2) \in \mathcal{K}_i^{M(\mathcal{F})|_\varphi}$ iff $s_1 \cap O_i = s_2 \cap O_i$. Therefore, $\mathcal{K}_i^{M(\mathcal{F}|_\varphi)} = \mathcal{K}_i^{M(\mathcal{F})|_\varphi}$. This completes the proof for $M(\mathcal{F}|_\varphi) = M(\mathcal{F})|_\varphi$. ∎

Notice that, for every formula in PAL_n, we can get an equivalent boolean formula. More specifically, we have the following:

Remark 29 Let V be a finite set of primitive propositions and $\mathcal{F} = (\{\theta\}, V, O_1, \cdots, O_n)$. Given a formula $\alpha \in PAL_n$, we define a boolean formula $\lfloor \alpha \rceil^\theta$ by induction on the structure of α:

- If α is a boolean formula, then $\lfloor \alpha \rceil^\theta = \alpha$.
- $\lfloor \alpha \wedge \beta \rceil^\theta = \lfloor \alpha \rceil^\theta \wedge \lfloor \beta \rceil^\theta$.
- $\lfloor K_i \alpha \rceil^\theta = \forall (V - O_i)(\theta \Rightarrow \lfloor \alpha \rceil^\theta)$.
- Let $\Delta \subseteq \{1, \cdots, n\}$, $\mathcal{V}_\Delta = \{O_i \mid i \in \Delta\}$. Then

  $$\lfloor C_\Delta \alpha \rceil^\theta = WSC_\Delta^{\lfloor \alpha \rceil^\theta}$$

  where $WSC_\Delta^{\lfloor \alpha \rceil^\theta}$ is a weakest $\mathcal{V}_\Delta$-sufficient condition $\lfloor \alpha \rceil^\theta$ under θ.
- $\lfloor [\varphi] \alpha \rceil^\theta = \lfloor \alpha \rceil^{\theta \wedge \lfloor \varphi \rceil^\theta}$

Then, for every $\alpha \in PAL_n$, we have that $\mathcal{F} \models \alpha \Leftrightarrow \lfloor \alpha \rceil^\theta$.

6. Complexity Results

We are interested in the following problem: given a knowledge structure $\mathcal{F}$ and a formula α in the language of epistemic logic, whether formula α is realized in structure $\mathcal{F}$. This kind of problem is called the realization problem. In this section, we examine the inherent difficulty of the realization problem in terms of computational complexity. In the general case, this problem is PSPACE-Complete; however, for some interesting subset of the language, it can be reduced to co-NP.

The realization problem here is closely related to the model checking problem: given an epistemic formula α and a Kripke structure M, to determine whether $M \models \alpha$. By checking the definition of Kripke structure semantics for epistemic logic, we can see that the model checking problem can be solved in polynomial time (with respect to the input size ($\mid M \mid + \mid \alpha \mid$). We can determine whether a formula α is realized in a knowledge structure $\mathcal{F}$ by first translating knowledge structure $\mathcal{F}$ into a Kripke structure M then checking $M \models \alpha$. However, the resulting algorithm will be exponential in space. This is because the size of the corresponding Kripke structure $M \models \alpha$ is exponential with respect to knowledge structure $\mathcal{F}$.

A number of algorithms for model checking epistemic specifications and the computational complexity of the related realization problems were studied in (Meyden, 1998).

However, like Kripke structure, the semantics framework they adopt is to list all global states explicitly. As a result, the size of the input of the concerned decision problem can be very large.

Proposition 30 *The realization problem is PSPACE-complete.*

Proof: The proposition is of two parts: the PSPACE-easiness and the PSPACE-hardness. The PSPACE-easiness part means that there is an algorithm that determines in polynomial space whether an epistemic formula $\alpha \in \mathcal{L}_n^C$ is realized in a knowledge structure $\mathcal{F}$. The PSPACE-completeness indicates that there is a PSPACE-hard problem, say the satisfiability problem for quantified propositional formulas (QBF) (Stockmeyer & Meyer, 1973), can be effectively reduced to the realization problem we consider.

It is not difficult to see the PSPACE-easiness. Given a knowledge structure and epistemic formula α, by Corollary 14, we can replace knowledge modalities by boolean quantifiers in formula α. And by Theorems 26 and 25, we can replace common knowledge modalities by fixed point operators. So, the problem of whether α is realized in $\mathcal{F}$ is reduced to determine whether a boolean function expressed by quantifiers and fixed point operators is valid. The latter can be done in polynomial space.

As for the PSPACE-hardness, it suffices to show that for every QBF formula

$$\forall p_1 \exists q_2 \forall p_2 \exists q_3 \cdots \forall p_{m-1} \exists q_m A(p_1, q_2, p_2, q_3 \cdots, p_{m-1}, q_m),$$

we can construct a knowledge structure $\mathcal{F}$ such that

$$\vdash \forall p_1 \exists q_2 \forall p_2 \exists q_3 \cdots \forall p_{m-1} \exists q_m A(p_1, q_2, p_2 \cdots, p_{m-1}, q_m)$$

iff

$$\mathcal{F} \models d_1 \wedge \neg d_2 \Rightarrow (K_1 \neg K_2 \neg)^{m-1}(d_m \wedge A(p_1, q_2, p_2, q_3 \cdots, p_{m-1}, q_m)).$$

Let $\mathcal{F} = (V, \{\theta\}, O_1, O_2)$, where

1. $V = \{c\} \cup \{d_1, \cdots, d_m\} \cup \{d'_1, \cdots, d'_m\} \cup \{p_1, \cdots, p_m\} \cup \{q_1, \cdots, q_m\}$
2. θ is the conjunction of the following formulas

   (a)
   $$\bigwedge_{j<m} (d_{j+1} \Rightarrow d_j) \wedge (d'_{j+1} \Rightarrow d'_j)$$

   (b)
   $$\bigwedge_{j<m} \left(d_j \wedge \neg d_{j+1} \Rightarrow \bigwedge_{i \neq j} (p_i \Leftrightarrow q_i) \right)$$

   (c)
   $$c \Rightarrow \bigwedge_{j<m+1} (d_j \Leftrightarrow d'_j)$$

(d)

$$\neg c \Rightarrow \left(((d_{m-1} \wedge \neg d_m) \Leftrightarrow d'_m) \wedge \bigwedge_{j<m-1} \Big((d_j \wedge \neg d_{j+1}) \Leftrightarrow (d'_{j+1} \wedge \neg d'_{j+2}) \Big) \right)$$

3. $O_1 = \{c\} \cup \{d_1, \cdots, d_m\} \cup \{q_1, \cdots, q_m\}$
4. $O_2 = \{d'_1, \cdots, d'_m\} \cup \{p_1, \cdots, p_m\}$

In our picture, we have only two agents: agents 1 and 2. For every j, d_j expresses that the depth of the state is at least j. Propositions $d_1, \cdots, d_m$ are observable to agent 1, but not to agent 2. Nevertheless, agent 2 can observe $d'_1, \cdots, d'_m$, which are closely related to $d_1, \cdots, d_m$. The formula in item 2c indicates that $d'_1, \cdots, d'_m$ are the same as $d_1, \cdots, d_m$ if c holds, while the formula in item 2d says that, if c does not hold, the depth expressed by $d_1, \cdots, d_m$ is less than that by $d'_1, \cdots, d'_m$ and the difference is 1. The formula in item 2b implies that, under the condition that the depth of the state is exactly j, only p_j is unobservable to agent 1 and only q_j is unobservable to agent 2.

In order to show that

$$\vdash \forall p_1 \exists q_2 \forall p_2 \exists q_3 \cdots \forall p_{m-1} \exists q_m A(p_1, q_2, p_2 \cdots, p_{m-1}, q_m)$$

implies

$$\mathcal{F} \models d_1 \wedge \neg d_2 \Rightarrow (K_1 \neg K_2 \neg)^{m-1} (d_m \wedge A(p_1, q_2, p_2, q_3 \cdots, p_{m-1}, q_m)),$$

it suffices to prove that, for every $j \leq m$ and boolean formula φ over $p_1, \cdots, p_m$, $q_1, \cdots, q_m$,

$$\mathcal{F} \models d_j \wedge \neg d_{j+1} \wedge \forall p_j \exists q_{j+1} \varphi \Rightarrow K_1 \neg K_2 \neg (d_{j+1} \wedge \neg d_{j+2} \wedge \varphi)$$

To do so, we need only to show that

$$\mathcal{F} \models d_j \wedge \neg d_{j+1} \wedge \forall p_j \varphi \Rightarrow K_1 (d_j \wedge \neg d_{j+1} \wedge \varphi)$$

and

$$\mathcal{F} \models d_j \wedge \neg d_{j+1} \wedge \exists q_{j+1} \varphi \Rightarrow \neg K_2 \neg (d_{j+1} \wedge \neg d_{j+2} \wedge \varphi).$$

As for the other direction, we notice that, for each $l < m - 1$,

$$\mathcal{F} \models d_1 \wedge \neg d_2 \Rightarrow (K_1 K_2)^l \neg d_{l+2}.$$

We also notice that, for each $1 < m' \leq m$,

$$\mathcal{F} \models K_1 \neg K_2 d_{m'} \Rightarrow d_{m'-1}$$

and

$$\mathcal{F} \models d_{m'-1} \wedge \neg d_{m'} \wedge K_1 \neg K_2 \neg (d_{m'} \wedge \varphi) \Rightarrow \forall p_{m'-1} \exists q_{m'} \varphi.$$

By applying the above three claims repeatedly, we can obtain that

$$\mathcal{F} \models d_1 \wedge \neg d_2 \wedge (K_1 \neg K_2 \neg)^{m-1} (d_m \wedge \varphi) \Rightarrow \forall p_1 \exists q_2 \forall p_2 \exists q_3 \cdots \forall p_{m-1} \exists q_m \varphi.$$

Therefore, if

$$\mathcal{F} \models d_1 \wedge \neg d_2 \Rightarrow (K_1 \neg K_2 \neg)^{m-1}(d_m \wedge \varphi)$$

then we have that $\forall p_1 \exists q_2 \forall p_2 \exists q_3 \cdots \forall p_{m-1} \exists q_m \varphi$ is satisfiable in $\mathcal{F}$ because so is $d_1 \wedge \neg d_2$. However, as the QBF formula $\forall p_1 \exists q_2 \forall p_2 \exists q_3 \cdots \forall p_{m-1} \exists q_m \varphi$ does not contain any free variables, we immediately conclude that the QBF formula is valid from that QBF formula is satisfiable in $\mathcal{F}$. ∎

Proposition 30 indicates that the realization problem in the general case is hard for a computer to solve. Thus, it is interesting to give some special cases with lower computational complexity. Let $\mathcal{L}_n^{+K}$ be the fragment of positive formulas in $\mathcal{L}_n^C$. It consists of those formulas such that the negation can be applied only to propositional formulas and the modalities are restricted to $K_1, \cdots, K_n$. For instance, formula $K_1K_2p \vee K_1K_2\neg p$ (where p is propositional formulas) belongs to $\mathcal{L}_n^{+K}$, but formula $K_1K_2p \vee K_1\neg K_2p$ does not.

The sublanguage L_n^{+K} is interesting in that it sufficient to represent most important security properties for security protocols. Moreover, as shown in the following proposition, the complexity of the realization problem for $\mathcal{L}_n^{+K}$ is co-NP-complete.

Proposition 31 *The realization problem for $\mathcal{L}_n^{+K}$ is co-NP-complete.*

Proof: It is well-known that the validity problem for propositional formulas is co-NP-complete. We can easily get that the co-NP-hardness of the realization problem for $\mathcal{L}_n^{+K}$, because the validity problem for propositional formulas can be reduced to the realization problem for propositional formulas (considering the case where background knowledge base is a tautology).

On the other hand, to show the realization problem for $\mathcal{L}_n^{+K}$ is in co-NP, we show it can be reduced to the validity problem of propositional formulas. Given a knowledge structure $\mathcal{F}$ and formula φ in $\mathcal{L}_n^{+K}$, we will translate φ into a propositional formula $\|\varphi\|_{\mathcal{F}}$, so that φ is realized in $\mathcal{F}$ iff $\theta \Rightarrow \|\varphi\|_{\mathcal{F}}$ is valid, where θ is the background knowledge base of knowledge structure $\mathcal{F}$.

Suppose $\mathcal{F} = (V, \{\theta\}, O_1, \cdots, O_n)$. For every subformula $K_i\psi$ of φ, we introduce a set V_ψ^i of new boolean variables such that $\mid V_\psi^i \mid = \mid V - O_i \mid$.

The propositional translation $\|\varphi\|_{\mathcal{F}}$ is inductively given as follows.

1. If φ is a propositional formula, then $\|\varphi\|_{\mathcal{F}} = \varphi$.

2. If φ is of the conjunction form $\varphi_1 \wedge \varphi_2$, then

$$\|\varphi\|_{\mathcal{F}} = \|\varphi_1\|_{\mathcal{F}} \wedge \|\varphi_2\|_{\mathcal{F}}.$$

3. If φ is of the form $\varphi_1 \vee K_i\psi$, then

$$\|\varphi\|_{\mathcal{F}} = \|\varphi_1\|_{\mathcal{F}} \vee (\theta \Rightarrow \|\psi\|_{\mathcal{F}})(\frac{V - O_i}{V_\psi^i}),$$

where $(\theta \Rightarrow \|\psi\|_{\mathcal{F}})(\frac{V-O_i}{V_\psi^i})$ is the formula obtained from $(\theta \Rightarrow \|\psi\|_{\mathcal{F}})$ by replacing variables in $V - O_i$ by the new ones in V_ψ^i.

The idea behind the above translation is that we first translate formula φ into a quantified boolean formula, where all the quantifiers are universal ones, and then eliminate those universal quantifiers by introducing new variables. ∎

Proposition 31 implies that, for an arbitrary formula φ in $\mathcal{L}_n^{+K}$ and a knowledge structure $\mathcal{F}$ with background knowledge base θ,

$$\mathcal{F} \models \varphi \text{ iff } \theta \wedge \neg\|\varphi\|_{\mathcal{F}} \text{ is unsatisfiable.}$$

Thus, we can solve the realization problem for formulas in $\mathcal{L}_n^{+K}$ by using a propositional satisfiability problem solver.

7. A Case Study: the Muddy Children Puzzle

In this section, we demonstrate how our framework can be applied to practical problems by using the example of the muddy children puzzle.

7.1 Muddy Children Puzzle

The muddy children puzzle is a well-known variant of the wise men puzzle. The story goes as follows (Fagin et al., 1995): Imagine n children playing together. Some of the children, say k of them, get mud on their foreheads. Each can see the mud on others but not on his/her own forehead. Along comes the father, who says, "at least one of you has mud on your forehead." The father then asks the following question, over and over: "Does any of you know whether you have mud on your own forehead?"

Assuming that all children are perceptive, intelligent, truthful, and they answer simultaneously, what we want to show is that the first $(k-1)$ times the father asks the question, they will say "No" but the k^{th} time the children with muddy foreheads will all answer "Yes."

7.2 Modeling the Muddy Children Puzzle

To model the muddy children puzzle, let m_i be a propositional variable, which means that child i is muddy $(i < n)$. Denote by V the set $\{m_i \mid i < n\}$. Suppose the assignment $s_0 = \{m_i \mid i < k\}$ represents the actual state: child 0, $\cdots$, child $k-1$ have mud on their foreheads; and the other children have not. This can be captured by the scenario $(\mathcal{F}_0, s_0)$, where $\mathcal{F}_0 = (V, \Gamma_0, O_0, \cdots, O_{n-1})$ with

- $V = \{m_i \mid i < n\}$;
- $\Gamma_0 = \emptyset$;
- and $O_i = V - \{m_i\}$ for each $i < n$.

Let $\varphi = \bigwedge_{i<n} \neg K_i m_i$, which indicates that every child does not know whether he has mud on his own forehead. For convenience, we introduce, for all natural number l, the notations $[\varphi]^l\psi$ so that $[\varphi]^0\psi = \psi$ and $[\varphi]^{l+1}\psi = [\varphi][\varphi]^l\psi$. The properties we want to show is then formally expressed in PAL_n:

- $[\bigvee_{i<n} m_i][\varphi]^j\varphi$ for every $0 \leq j < k-1$, and

- $[\bigvee_{i<n} m_i][\varphi]^{k-1} \bigwedge_{i<k} K_i m_i$.

Formula $[\bigvee_{i<n} m_i][\varphi]^j \varphi$ means that the children will all say "No" for the $j+1^{th}$ time the father asks the question. In particular, when $j=0$, the condition $0 \leq j < k-1$ is simplified as $k > 1$; and the resulting formula $[\bigvee_{i<n} m_i]\varphi$ says that after the father announce $\bigvee_{i<n} m_i$ every child says "No". Formula $[\bigvee_{i<n} m_i][\varphi]^{k-1} \bigwedge_{i<k} K_i m_i$ indicates that the k^{th} time the children with muddy foreheads will all answer "Yes."

Therefore, what we want to prove is that

$$(\mathcal{F}_0, s_0) \models \left(\bigwedge_{0 \leq j < k-1} [\bigvee_{i<n} m_i][\varphi]^j \varphi \right) \wedge \left([\bigvee_{i<n} m_i][\varphi]^{k-1} \bigwedge_{i<k} K_i m_i \right).$$

To check the above, we basically follow the definition of PAL semantics under knowledge structure. During the checking process, a series $\mathcal{F}_j$ $(0 < j \leq k)$ of knowledge structures are constructed so that $\mathcal{F}_1 = \mathcal{F}_0 \mid_{\bigvee_{i<n} m_i}$ and, for every j $(0 < j < k)$, $\mathcal{F}_{j+1} = \mathcal{F}_j \mid_{\varphi}$.

Specifically, we have that, for each step $j \leq k$, we get

$$\mathcal{F}_j = (V, \Gamma_j, O_0, \cdots, O_{n-1})$$

where $O_i = V - \{m_i\}$ for each $i < n$, and Γ_j is defined as follows:

- At step 1: $\Gamma_1 = \{\bigvee_{i<n} m_i\}$.
- At step $j+1$: Let $\varphi^b = \bigwedge_{i<n} \neg \forall m_i (\Gamma_j \Rightarrow m_i)$. As for each $i < n$, $\mathcal{F}_j \models K_i m_i \Leftrightarrow \forall m_i (\Gamma_j \Rightarrow m_i)$, we have that $\mathcal{F}_j \models \varphi \Leftrightarrow \varphi^b$. Thus, we may set $\Gamma_{j+1} = \Gamma_j \cup \left\{ \varphi^b \right\}$.

Therefore, it suffices to verify, for $0 < j < k$ and $i < n$, $(\mathcal{F}_j, s_0) \models \neg K_i m_i$, and for $i < k$, $(\mathcal{F}_k, s_0) \models K_i m_i$.

7.3 Experimental Results

Our framework of knowledge structure has been implemented by using the BDD library (CUDD) developed by Fabio Somenzi at Colorado University. Notice that BDD-based QBF solvers for satisfiability problems are not among the best solvers nowadays. However, in the experiments here we need to compute and represent a serial of Boolean functions (say Γ_j), which are not decision problems and can not be solved by a general QBF solver.

To check agents' knowledge, we implemented two different algorithms in terms of Part 1 and 2 of Corollary 19 in Section 3, respectively. Algorithm 1, which is based on part 1 of Corollary 19, seems much more efficient than Algorithm 2, which is based on part 2 of Corollary 19, for this particular example. The reason is as follows. It is clear that the main task of both algorithms is to check whether $(\mathcal{F}_j, s_0) \models K_i(m_i)$. However, Algorithm 1's method is to compute $s_0 \models \forall m_i (\Gamma_j \Rightarrow m_i)$, while Algorithm 2 is to compute $\models \exists m_i (\Gamma_j \wedge s_0) \Rightarrow m_i$. Now the main reason why Algorithm 1 is much more efficient for this particular problem is clear: $\forall m_i (\Gamma_j \Rightarrow m_i)$ is simply equivalent to $\neg \Gamma_j (\frac{m_i}{false})$. Assuming half of the children are muddy, Fig. 1 gives the performances for a Pentium IV PC at 2.4GHz, with 512RAM. In the figure, the x-axis is for the number of children, and the y-axis for the CPU run time in seconds.

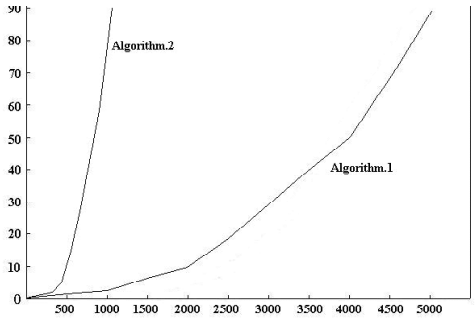

Figure 1: Performances of the two algorithms for the muddy children puzzle

The muddy children puzzle as a famous benchmark problem of reasoning about knowledge can be resolved by both proof-theoretic and semantical approaches, for example, (Baltag et al., 1998; Gerbrandy, 1999; Lomuscio, 1999). Proof-theoretic approaches depend on efficient provers for multi-modal logics; and semantical ones may suffer from the state-explosion problem. Our approach is essentially a semantical one, but we give a syntactical and compact way to represent Kripke structures by using knowledge structures, and hence may avoid the state-explosion problem to some extent.

8. Application to Verification of Security Protocols

In this section, we apply our knowledge model to security protocol verification. Security protocols that set up credits of the parties and deal with the distribution of cryptographic keys are essential in communication over vulnerable networks. Authentication plays a key role in security protocols. Subtle bugs that lead to attack are often found when the protocols have been used for many years. This presents a challenge of how to prove the correctness of a security protocol. Formal methods are introduced to establish and prove whether a secure protocol satisfies a certain authentication specification.

8.1 Background on Authentication Protocols

Authentication protocols aim to coordinate the activity of different parties (usually referred to as *principals*) over a network. They generally consist of a *sequence* of message exchanges whose format is fixed in advance and must be conformed to. Usually, a principal can take part into a protocol run in different ways, as the *initiator* or the *responder*; we often call the principal has different *roles*. Very often a principal can take part into several protocol runs simultaneously with different roles.

The design of authentication protocols must have the conscious in mind that the message may be intercepted and someone with malicious intention can impersonate an honest principal. One of the key issues in authentication is to ensure the *confidentiality*, that is, to prevent private information from being disclosed to unauthorized entities. Another issue is to avoid intruder impersonating other principals. In general, a principal should ensure that

the message he receives was created *recently* and sent by the principal who claims to have sent it.

Cryptography is a fundamental element in authentication. A message transmitted over a channel without any cryptographic converting is called *plaintext*. The intention of cryptography is to transform a given message to some form that is unrecognizable by anyone except the intended receiver. The procedure is called *encryption* and the corresponding parameter is known as *encryption key*. The encoded message is referred to as *ciphertext*. The reverse procedure is called *decryption* and uses the corresponding *decryption key*. The *symmetric-key cryptography*, which is also called *secret-key cryptography*, uses the same key for both encryption and decryption. The *asymmetric-key cryptography*, which is also called *public-key cryptography*, uses different keys for encryption and decryption. The one for the encryption is the *public key* that is generally available for anyone. Corresponding to the public key is the *private key*, which is for the decryption and only owned by one principal.

8.2 The Dolev-Yao Intruder Model

The standard adversary model for the analysis of security protocols was introduced by Dolev and Yao in 1983 and is commonly known as *Dolev-Yao model* (Dolev & Yao, 1983). According to this model, a set of conservative assumptions are made as follows:

1. Messages are considered as indivisible abstract values instead of sequences of bits.
2. All the messages from one principal to any other principals must pass through the adversary and the adversary acts as a general router in the communication.
3. The adversary can read, alter and redirect any messages.
4. The adversary can only decrypt a message if he has the right keys, can only compose new messages from keys and messages that he already possesses.
5. The adversary can not perform any statistical or other cryptanalytic attacks.

Although this model has the drawback of finding implementation dependent attacks, it simplifies the protocol analysis. It has been proved to be the the most powerful modeling of the adversary (Cervesato, 2001) because it can simulate any other possible attackers.

8.3 The Revised Needham-Schroeder Protocol

As Lowe (Lowe, 1996) pointed out that the Needham-Schroeder protocol has the problem of lacking the identity of the responder and can be fixed by a small modification. However, it is not clear if the revised version is correct. Our approach provides a method to automatically prove the correctness of security protocols instead of just finding bugs as usual analysis tools do for security protocols.

In the cryptography literature, the revised Needham-Schroeder protocol is described as follows:

1. $A \rightarrow B$: $\{Na, A\}_{Kb}$
2. $B \rightarrow A$: $\{B, Na, Nb\}_{Ka}$

3. $A \rightarrow B$: $\{Nb\}_{Kb}$

where $A \rightarrow B : M$ is a notation for "A sends B the message M " or "B receives the message M from A". The notation $\{M\}_K$ means the encryption of M with the key K. Also, A, B denote the principal identifiers, Ka, Kb indicate, respectively, A's and B's public keys. Moreover, Na and Nb are the *nonces* which are newly generated unguessable values by A and B, respectively, to guarantee the freshness of messages.

Two informal goals or specifications of the protocol are "A knows that B knows A said Na and Na is fresh," and "B knows that A knows B said Nb and Nb is fresh ."

To analyze the protocol, we introduce A and B *local histories* for the protocol: If A plays the role of the initiator in the protocol, and assumes that B be the responsor, then A's local history is that

1. A said $\{Na, A\}_{Kb^A}$
2. A sees $\{B^A, Na, Nb^A\}_{Ka}$
3. A said $\{Nb^A\}_{Kb^A}$

where "A said M" means that A sent the message M, or other message containing M; "A sees M" indicates that A receives M or got M by some received messages; B^A is the responsor of the protocol from A's local view; Kb^A and Nb^A are, from A's local view, the responsor's public key and nonce, respectively.

If B plays the role of the responsor in the protocol, and assumes A be the initiator, then A's local history is that

1. B sees $\{Na^B, A^B\}_{Kb}$
2. B said $\{B, Na^B, Nb\}_{Ka}$
3. B sees $\{Nb\}_{Kb}$

where A^B is the initiator of the protocol from B's local observations; Ka^B and Na^B are, from B's local view, the initiator's public key and nonce, respectively.

The main point of our analysis is that if an agent is involved in the protocol, then the agent's real observations should be compatible with the so-called *local history*. For example, if A is the initiator of the protocol, A sees $\{B, Na^B, Nb\}_{Ka}$, then according to A's local history for the protocol we have that A assumes that B is the responsor of the protocol, the responsor's nonce is Nb, and from the responsor's view, the initiator's nonce is Na (see the 4th formula of the background knowledge Γ below).

Let us see how our framework of reasoning about knowledge can be applied to this protocol.

The variable set V_{RNS} consists of the following atoms:

- $fresh(Na)$: Nonce Na is fresh.
- $fresh(Nb)$: Nonce Nb is fresh.
- $role(Init, A)$: A plays the role of the initiator of the protocol.

- $role(Resp, B)$: B plays the role of the responder of the protocol.
- $Resp^A = B$: A assumes that the responder of the protocol is B.
- $Init^B = A$: B assumes that the initiator of the protocol is A.
- $Na^B = Na$: B assumes that the partner's nonce in the execution of the protocol is Na.
- $Nb^A = Nb$: A assumes that the partner's nonce in the execution of the protocol is Nb.
- $said(B, Na)$: B said Na by sending a message containing Na.
- $said(A, Nb)$: A said Nb.
- $sees(B, \{Na, A\}_{Kb})$: B sees $\{Na, A\}_{Kb}$ (possibly by decrypting the messages received.)
- $sees(A, \{B, Na^B, Nb\}_{Ka})$: A sees $\{B, Na^B, Nb\}_{Ka}$.

The background knowledge Γ_{RNS} consists of the following formulas:

1. $\left(\begin{array}{l} sees(B, \{Na, A\}_{Kb}) \wedge \\ said(B, Na) \wedge \\ fresh(Na) \end{array}\right) \Rightarrow role(Resp, B)$
2. $\left(\begin{array}{l} sees(A, \{B, Na^B, Nb\}_{Ka}) \wedge \\ said(A, Nb) \wedge \\ fresh(Nb) \end{array}\right) \Rightarrow role(Init, A)$
3. $\left(\begin{array}{l} role(Resp, B) \wedge \\ sees(B, \{Na, A\}_{Kb}) \wedge \\ said(B, Na) \wedge \\ fresh(Na) \end{array}\right) \Rightarrow \left(\begin{array}{l} Init^B = A \wedge \\ Na^B = Na \end{array}\right)$
4. $\left(\begin{array}{l} role(Init, A) \wedge \\ sees(A, \{B, Na^B, Nb\}_{Ka}) \wedge \\ said(A, Nb) \wedge \\ fresh(Nb) \end{array}\right) \Rightarrow \left(\begin{array}{l} Resp^A = B \wedge \\ Na^B = Na \wedge \\ Nb^A = Nb \end{array}\right)$
5. $\left(\begin{array}{l} role(Init, A) \wedge \\ Resp^A = B \end{array}\right) \Rightarrow \left(\begin{array}{l} sees(B, \{Na, A\}_{Kb}) \wedge \\ said(B, Na) \end{array}\right)$
6. $\left(\begin{array}{l} role(Resp, B) \wedge \\ Init^B = A \end{array}\right) \Rightarrow \left(\begin{array}{l} sees(A, \{B, Na^B, Nb\}_{Ka}) \wedge \\ said(A, Nb) \end{array}\right)$
7. $\begin{array}{l} (role(Init, A) \Rightarrow fresh(Na)) \wedge \\ (role(Resp, B) \Rightarrow fresh(Nb)) \end{array}$

Notice that the first two formulas are required for the rationality of the agents A and B. The other formulas in Γ can be obtained automatically by some fixed set of meta rules. We obtain the third and fourth formulas by comparing their local history for the protocols to the conditions appearing in the formulas. To get the fifth formula informally, consider A's local history under the conditions $role(Init, A)$ and $Resp^A = B$, which should be that

1. A said $\{Na, A\}_{Kb}$
2. A sees $\{B, Na, Nb^A\}_{Ka}$
3. A said $\{Nb^A\}_{Kb}$.

According to A's local history, A sees the nonce Na generated by A itself. Because Na is only said in the message $\{Na, A\}_{Kb}$, thus B, who has the inverse key of Kb, must see this message and said Na. Similarly, we can see that the sixth formula holds. The last formula follows immediately by the definition of the protocol.

The set O_A of the observable variables to A is

$$\{fresh(Na), role(Init, A), Resp^A = B\}.$$

The set O_B of the observable variables to B is

$$\{fresh(Nb), role(Resp, B), Init^B = A\}.$$

Now consider the knowledge structure

$$\mathcal{F} = (V_{RNS}, \Gamma_{RNS}, O_A, O_B).$$

Let $Spec_A$ be the formal specification:

$$\begin{pmatrix} fresh(Na)\wedge \\ role(Init, A)\wedge \\ Resp^A = B \end{pmatrix} \Rightarrow K_A K_B \begin{pmatrix} said(A, Na)\wedge \\ fresh(Na) \end{pmatrix}$$

and $Spec_B$ be the formal specification:

$$\begin{pmatrix} fresh(Nb)\wedge \\ role(Resp, B)\wedge \\ Init^B = A \end{pmatrix} \Rightarrow K_B K_A \begin{pmatrix} said(B, Nb)\wedge \\ fresh(Nb) \end{pmatrix}.$$

It is easy to show that, for all states s of $\mathcal{F}$,

$$(\mathcal{F}, s) \models Spec_A \wedge Spec_B$$

as desired.

We should mention that, in the original Needham-Schroeder protocol (R.M.Needham & M.D.Schroeder, 1978), the second message is $B \rightarrow A$: $\{Na, Nb\}_{Ka}$ instead of $B \rightarrow A$: $\{B, Na, Nb\}_{Ka}$. Therefore, the fourth formula in Γ would be changed to

$$\begin{pmatrix} role(Init, A)\wedge \\ sees(A, \{Na^B, Nb\}_{Ka})\wedge \\ said(A, Nb)\wedge \\ fresh(Nb) \end{pmatrix} \Rightarrow \begin{pmatrix} Na^B = Na\wedge \\ Nb^A = Nb \end{pmatrix}$$

Thus, $Resp^A = B$ does not necessarily hold under the condition

$$role(Init, A) \wedge sees(A, \{Na^B, Nb\}_{Ka}) \wedge said(A, Nb) \wedge fresh(Nb).$$

This is why the specifications $Spec_A$ and $Spec_B$ do not hold for the original Needham-Schroeder protocol.

8.4 Discussion

BAN logic (Burrows, Abadi, & Needham, 1990) is one of the most successful logical tools to reason about security protocols. However, the semantics of BAN is always arguable, and it is not clear under what assumption the rules of BAN logic is sound and complete. This motivated the research of seeking more adequate frameworks (models). Providing a model-theoretic semantics for BAN logic has been a central idea in the development of BAN-like logics such as AT (Abadi & Tuttle, 1991) and SVO (Syversion & van Oorschot, 1996). The advantage of our approach is that we use knowledge structures as semantical models to verify the correctness of epistemic goals for security protocols.

An important problem is that, given a security protocol, where and how the corresponding knowledge structure comes from. To get the knowledge structure corresponding to a security protocol, we have developed a semantics model, and the background knowledge base of the corresponding knowledge structure consists of those formulas valid in the semantics model. Moreover, we can generate the background knowledge systematically. The ongoing work is to implement our approach into a promising automatic security protocol verifier.

9. Conclusion

In this paper, we have investigated knowledge reasoning within a simple framework called knowledge structure. Variable forgetting is used as a basic operation for one agent to reason about its own or other agents' knowledge. Given a background knowledge base Γ, and a set of observable variables O_i for each agent i, we have shown that the notion of agent i knowing a formula φ can be defined as the weakest sufficient condition of φ over O_i under Γ. Moreover, we have generalized the notion of weakest sufficient conditions to capture the notion of common knowledge in framework. Also, we have shown that public announcement operator can be conveniently dealt with via our notion of knowledge structure. Further, we have examined the computational complexity of the problem whether a formula α is realized in structure $\mathcal{F}$. In the general case, this problem is PSPACE-Complete; however, there are some interesting subcases where it can be reduced to co-NP. To illustrate the applications of our knowledge structures, we have discussed the automated analysis of the well-known muddy children puzzle and the verification of the corrected Needham-Schroeder protocol.

Our work presented in this paper can be further extended in several directions. First, we will investigate whether our knowledge structures can be extended and used as a basis for knowledge based programming (Fagin et al., 1995). Secondly, in our current framework of knowledge structures, we have not considered the issue of *only knowing* which has been extensively studied in other knowledge reasoning models, e.g. (Halpern & Lakemeyer, 1996; van der Hock, Jaspars, & Thijsse, 2003; Levesque, 1990). It will be an interesting topic of how our knowledge model handles only knowing in reasoning about knowledge.

Finally, recent research has shown that *knowledge update* has many important applications in reasoning about actions and plans and dynamic modelling of multi-agent systems (Zhang, 2003). A first step in this direction (in mono-agent S5) can be found in (Herzig, Lang, & Marquis, 2003). Baral and Zhang have proposed a general model for performing knowledge update based on the standard single agent S5 modal logic (Baral & Zhang, 2001). We believe that their work can be extended to many agents modal logics by using the knowledge structure defined in this paper and therefore to develop a more general system for knowledge update. Along this direction, an interesting research issue is to explore the underlying relationship between *knowledge forgetting* - a specific type of knowledge update, and variable forgetting as addressed in this paper.

Acknowledgement

The authors thank Ron van der Meyden and Fangzheng Lin for their valuable comments on an earlier version of this paper. This work was partially supported by the Australian Research Council grant DP0452628, National Basic Research 973 Program of China under grant 2005CB321902, National Natural Science Foundation of China grants 60496327 and 60473004.

References

Abadi, M., & Tuttle, M. (1991). A semantics for a logic of authentication. In *Proceedings of the Tenth Annual ACM Symposium on Principles of Distributed Computing*, pp. 201–216.

Baltag, A., Moss, L., & Solecki, S. (1998). The logic of public announcements and common knowledge for distributed applications (extended abstract). In *Proceedings of TARK-VII*, pp. 43–56.

Baral, C., & Zhang, Y. (2001). On the semantics of knowledge update. In *Proceedings of the 17th International Joint Conference on Artificial Intelligence (IJCAI-01)*, pp. 97–102.

Boole, G. (1854). *An Investigation of the Laws of Thought*. Walton, London.

Burrows, M., Abadi, M., & Needham, R. M. (1990). A logic of authentication. *ACM Transactions on Computer Systems*, *8*(1).

Cervesato, I. (2001). The Dolev-Yao intruder is the most powerful attacker. In *Proc. 16th Annual Int. Symp on Logic in Computer Science*.

Dolev, D., & Yao, A. (1983). On the security of public-key protocols. *Communications of the ACM*, *29*(8), 198–208.

Engelhardt, K., van der Meyden, R., & Moses, Y. (1998). Knowledge and the logic of local propositions. In *Theoretical Aspects of Rationality and Knowledge, Proc. of TARK 1998*, pp. 29–41. Morgan Kaufmann.

Engelhardt, K., van der Meyden, R., & Su, K. (2003). Modal logics with a hierarchy of local propositional quantifiers. In *Advance in Modal Logic*, Vol. 4, pp. 9–30. Kings College Publications.

Fagin, R., Halpern, J., Moses, Y., & Vardi, M. (1995). *Reasoning about knowledge*. MIT Press, Cambridge, MA.

Gerbrandy, J. (1999). *Bisimulation on Plant Kripke*. Ph.D thesis, Institute for Logic, Language and Computation, University of Amsterdam.

Halpern, J., & Moses, Y. (1992). A guide to completeness and complexity for modal logics of knowledge and belief. *Artificial Intelligence*, *54*, 319–379.

Halpern, J., & Zuck, L. (1992). A little knowledge goes a long way: Simple knowledge based derivations and correctness proofs for a family of protocols. *Journal of the ACM*, *39*(3), 449–478.

Halpern, J., & Lakemeyer, G. (1996). Multi-agent only knowing. In *TARK 1996*, pp. 251–265.

Herzig, A., Lang, J., & Marquis, P. (2003). Action representation and partially observable planning using epistemic logic. In *Proceedings of IJCAI-03*, pp. 1067–1072.

Hintikka, J. (1962). *Knowledge and Belief*. Cornell University Press, Ithaca, NY.

Kripke, S. (1963). A semantical analysis of modal logic. i: Normal modal propositional calculi. *Z. Math. Logik Grundl. Math.*, *9*, 67–96.

Lang, J., Liberatore, P., & Marquis, P. (2003). Propositional independence: Formula-variable independence and forgetting. *Journal of Artificial Intelligence Research*, *18*, 391–443.

Lang, J., & Marquis, P. (1998). Complexity results for independence and definability. In *Proc. the 6th International Conference on Knowledge Representation and Reasoning*, pp. 356–367.

Lang, J., & Marquis, P. (2002). Resolving inconsistencies by variable forgetting. In *Proc. of KR'2002*, pp. 239–250.

Levesque, H. (1990). All I know: a study in autoepistemic logic. *Artificial Intelligence*, *42*, 263–309.

Lin, F. (2001). On the strongest necessary and weakest sufficient conditions. *Artificial Intelligence*, *128*, 143–159.

Lin, F., & Reiter, R. (1994). Forget it!. In Greiner, R., & Subramanian, D. (Eds.), *Working Notes of AAAI Fall Symposium on Relevance*, pp. 154–159, New Orleans.

Lomuscio, A. (1999). *Knowledge Sharing among Ideal Agents*. Ph.D thesis, School of Computer Science, University of Birmingham.

Lowe, G. (1996). Breaking and fixing the Needham-Schroeder public-key protocol using FDR. In Margaria, & Steffen (Eds.), *Tools and Algorithms for the Construction and Analysis of Systems*, Vol 1055 of Lecture Notes in Computer Science, pp. 147–166. Springer Verlag.

Meyden, R. v. d. (1998). Common knowledge and update in finite environments. *Information and Computation*, *140*(2), 115–157. A preliminary version of this paper appears in *Proc. Conf on Theoretical Aspects of Reasoning about Knowledge*, 1994.

Plaza, J. (1989). Logics of public communications. In *Proceedings of the 4th International Symposium on Methodologies for Intelligent Systems*, pp. 201–216–346.

R.M.Needham, & M.D.Schroeder (1978). Using encryption for authentication in large networks of computers. *Communication of the ACM*, *21*(12), 993–999.

Stockmeyer, L., & Meyer, A. (1973). Word problem requiring exponential time: prelimnary report. In *Proc. 5th ACM Symp. on Theory of Computing*, pp. 1–9.

Su, K., LV, G., & Zhang, Y. (2004). Reasoing about knowledge by variable forgetting. In *Proceedings of KR-04*, pp. 576–586.

Syversion, P. F., & van Oorschot, P. (1996). An unified cryptographic protocol logic. Tech. rep. NRL Publication 5540-227, Naval Research Lab.

Tarski, A. (1955). A lattice-theoretical fixpoint theorem ans its applications. *Pacific J. Math.*, *5*, 285–309.

van Benthem, J. (2001). Logics for information update. In *Proceedings of TARK-VIII*, pp. 51–58.

van der Hock, W., Jaspars, J., & Thijsse, E. (2003). Theories of knowledge and ignorance. In S. Rahman, J. Symons, D. G., & van Bendegem, J. (Eds.), *Logic, Epistemology and the Unity of Science*. Kluwer.

van der Hoek, W., & Wooldridge, M. (2002). Model checking knowledge and time. In *Proc. 19th Workshop on SPIN (Model Checking Software)*, pp. 95–111, Grenoble.

van Ditmarsch, H., van der Hoek, W., & Kooi, B. (2005a). Dynamic epistemic logic with assignment. In *Proceedings of AAMAS-05*, pp. 141–148.

van Ditmarsch, H., van der Hoek, W., & Kooi, B. (2005b). Public announcements and belief expansion. In *Advances in Modal Logic, Volume 5*, pp. 335–346.

Weber, A. (1986). Updating propositional formulas. In *Proc. First Conference on Expert Database Systems*, pp. 487–500.

Zhang, Y. (2003). Minimal change and maximal coherence for epistemic logic program updates. In *Proceedings of the 18th International Joint Conference on Artificial Intelligence (IJCAI-03)*, pp. 112–117.